Galtie Philippe Form 4 March 29, 2019

### FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

0.5

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

Estimated average burden hours per response...

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

See Instruction 1(b).

obligations

may continue.

Form 5

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Galtie Philippe

5. Relationship of Reporting Person(s) to Issuer

TIFFANY & CO [TIF]

(Check all applicable)

TIFFANY & CO., 200 FIFTH

(First)

(Street)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director

10% Owner Other (specify

03/27/2019

Symbol

X\_ Officer (give title below) **Executive Vice President** 

**AVENUE** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW YORK, NY 10010

(City)	(State)	(Zip) Tak	ole I - Non-	Derivativ	e Seci	ırities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		sed of 4 and (A) or	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock \$.01 Par	03/27/2019		M	8,988	A	<u>(1)</u>	13,735	D	
Common Stock \$.01 Par	03/27/2019		F	4,493 (2)	D	\$ 104.0825	9,242	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Galtie Philippe - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number to for Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and Amour Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Shar
Performance-based Restricted Stock Units	d <u>(1)</u>	03/27/2019		M	8,988 (1)	<u>(1)</u>	<u>(1)</u>	Common Stock \$.01 Par	8,9

### **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Galtie Philippe TIFFANY & CO. 200 FIFTH AVENUE NEW YORK, NY 10010

**Executive Vice President** 

## **Signatures**

/s/ Catherine W.H. So, Attorney-in-Fact

03/29/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Each performance-based restricted stock unit represented a contingent right to receive a share of issuer's common stock upon satisfaction of financial performance criteria for the three-year performance period ended January 31, 2019 and publication of issuer's audited
- (1) financial statements for the fiscal year-ended on that date. 13,958 performance-based restricted stock units were granted pursuant to the Tiffany & Co. 2014 Employee Incentive Plan, which complies with Rule 16b-3. 4,970 performance-based restricted stock units not eligible for conversion at the end of the performance period were canceled.
- (2) Shares withheld to cover taxes on vested performance-based restricted stock units pursuant to issuer's default equity vesting procedures. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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