Edgar Filing: UROPLASTY INC - Form 8-K

UROPLASTY INC Form 8-K August 10, 2005

Table of Contents

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549

FORM 8-K

Current Report Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report: August 10, 2005 UROPLASTY, INC.

(Exact name of registrant as specified in charter)

000-20989 41-1719250

(Commission File No.)

(IRS Employer Identification No.)

Minnesota

(State or other jurisdiction of incorporation or organization)

2718 Summer Street NE Minneapolis, Minnesota 55413-2820

(Address of principal executive offices)

612-378-1180

(Registrant s telephone number, including area code)

Not Applicable

(Former Name and Address)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 of the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Table of Contents 2

Edgar Filing: UROPLASTY INC - Form 8-K

TABLE OF CONTENTS

<u>Item 8.01 Other Events</u> <u>Item 9.01 Financial Statements and Exhibits</u>

SIGNATURES

Press Release

Table of Contents 3

Edgar Filing: UROPLASTY INC - Form 8-K

Table of Contents

Item 8.01 Other Events

The following forward-looking statements are subject to risks and uncertainties. We may not meet our expectations set out below for business and financial reasons. In addition to the specific risks described below, we recommend that you carefully consider the risk factors described in our other SEC filings in evaluating us.

Regulatory Matters. Uroplasty has submitted requests to the U.S. Food and Drug Administration for 510(k) clearance to market two new products. The first submission requested clearance to market the Urgent®PC Neuromodulation System for treatment of overactive bladder symptoms. A second 510(k) submission requested FDA clearance to market the I-STOP Mid-Urethral Sling System for treatment of female stress urinary incontinence.

Item 9.01 Financial Statements and Exhibits

- (c) Exhibits:
- 99.1 Press Release, dated August 10, 2005

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Dated: August 10, 2005

UROPLASTY, INC.

By: /s/ SAM B. HUMPHRIES
Sam B. Humphries
President and Chief Executive Officer

2

Table of Contents 4