Edgar Filing: Artio Global Investors Inc. - Form 4

Artio Glob Form 4	al Investors Inc.									
October 07									PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235-0287	
if no lo subject Sectior Form 4 Form 5 obligat may co	nger to 16. or Filed pu ions ntinue.	ection 1 ublic U	NGES IN SECUI 16(a) of th Jtility Hol nvestment	Estimated burden hou response	Estimated average burden hours per response 0.5					
<i>See</i> Ins 1(b).	truction	50(11) 0	f the h	il vestillen	. compu	19 7101 01 1	510			
(Print or Type	e Responses)									
1. Name and Address of Reporting Person <u>*</u> GAM Holding Ltd.			Symbol	er Name an Global Inv		-	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)							ck all applicable)		
	O GLOBAL INV MADISON AVE	ESTORS	(Month/I 09/29/2	Day/Year) 2011			Director Director (give below)	ve title Oth below)	% Owner her (specify	
				endment, D onth/Day/Yea	-	ıl	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEW YO	RK, NY 10017						Form filed by Person	More than One R	eporting	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution I any (Month/Day	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
D . 1		c	C							
Keminder: R	eport on a separate lin	e for each cla	ss of sec	urifies bene	Perso inform requir	ns who res nation cont red to respo	or indirectly. spond to the collection in this form ond unless the form ntly valid OMB co	n are not orm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	of Underlying
Security	or Exercise		any	Code	Securities Acquired	(Month/Day/Year)	Securities

number.

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(Instr. 3)	Price of Derivative Security		•			(A) or Disposed of(D)(Instr. 3, 4, and 5)				(Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class A common stock	<u>(1)</u>	09/29/2011		A		16,755,844 (1)		<u>(1)</u>	<u>(1)</u>	Class A common stock	<u>(1)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GAM Holding Ltd. C/O ARTIO GLOBAL INVESTORS INC. 330 MADISON AVENUE NEW YORK, NY 10017		Х					
Signatures							
/s/ Elmar Zumbuehl Legal Counsel	10/07/201	1					
**Signature of Reporting Person	Date						
/s/ Bernhard Hoessli Head Group Tax	10/07/201	1					
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to the Issuer's Amended and Restated Certificate of Incorporation, on September 29, 2011, all outstanding shares of Class C common stock automatically converted into shares of Class A common stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.