Soh Simon Form 4 March 08, 2019

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005 Estimated average

0.5

**OMB APPROVAL** 

Form 4 or
Form 5
obligations
may continue.

Filed pursuan
Section 17(a) of

1. Name and Address of Reporting Person \*

03/06/2019

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

burden hours per response...

5. Relationship of Reporting Person(s) to

Issuer

See Instruction 1(b).

(Print or Type Responses)

Soh Simon

Stock,

\$0.01 par value

Common Stock,

\$0.01 par value

|                        |                    | First<br>[FFN        | Financial Northwest, Inc.<br>W]            | (Check all applicable)   |  |  |
|------------------------|--------------------|----------------------|--|--|--|--|
| (Last)                 | (First)            |                      | e of Earliest Transaction<br>h/Day/Year)   | Director 10% OwnerX_ Officer (give title Other (specify below) |  |  |
| 201 WELLS AVENUE SOUTH |                    |                      | 5/2019                                     | Chief Lending Officer of FFNWB                                 |  |  |
|                        | (Street)           |                      | mendment, Date Original                    | 6. Individual or Joint/Group Filing(Check                      |  |  |
|                        |                    | Filed(               | Month/Day/Year)                            | Applicable Line) _X_ Form filed by One Reporting Person        |  |  |
| RENTON,                | WA 98057           |                      |  | Form filed by More than One Reporting Person                   |  |  |
| (City)                 | (State)            | (Zip)                | able I - Non-Derivative Securities Ac      | equired, Disposed of, or Beneficially Owned                    |  |  |
| 1.Title of             | 2. Transaction Dat | e 2A. Deemed         | 3. 4. Securities Acquired                  | *  |  |  |
| Security               | (Month/Day/Year)   |                      | * / *                                      |  |  |  |
| (Instr. 3)             |                    | any<br>(Month/Day/Ye | Code (Instr. 3, 4 and 5)<br>ar) (Instr. 8) | Beneficially (D) or Beneficial<br>Owned Indirect (I) Ownership |  |  |
|                        |                    | (                    |  | Following (Instr. 4) (Instr. 4)                                |  |  |
|                        |                    |                      | (A)  | Reported<br>Transaction(s)                                     |  |  |
|                        |                    |                      | or<br>Code V Amount (D) Price              | (Instr. 3 and 4)   |  |  |
| Common                 |                    |                      |  |  |  |  |

1,184 D

 $F^{(1)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02)

27,705

11,000

D

Ι

Thru IRA

Edgar Filing: Soh Simon - Form 4

required to respond unless the form displays a currently valid OMB control number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 2.          | 3. Transaction Date                                 | 3A. Deemed   | 4.  | 5.  | 6. Date Exerc  | cisable and   | 7. Title  | and   | 8. Price of  | 9. Nu                         |
|-------------|---|--|---|---|--|---|---|---|--|-------------------------------|
| Conversion  | (Month/Day/Year)                                    | Execution Date, if   | Transaction   | onNumber  | Expiration D   | ate   | Amoun   | nt of   | Derivative   | Deriv                         |
| or Exercise |   | any  | Code  | of  | (Month/Day/  | Year)   | Underl  | ying  | Security   | Secui                         |
| Price of    |   | (Month/Day/Year)   | (Instr. 8)  | Derivative  | e  |   | Securit   | ies   | (Instr. 5)   | Bene                          |
| Derivative  |   |  |   | Securities  |  |   | (Instr. 3   | 3 and 4)  |  | Owne                          |
| Security    |   |  |   | Acquired  |  |   |   |   |  | Follo                         |
|             |   |  |   | (A) or  |  |   |   |   |  | Repo                          |
|             |   |  |   | Disposed  |  |   |   |   |  | Trans                         |
|             |   |  |   | of (D)  |  |   |   |   |  | (Instr                        |
|             |   |  |   | (Instr. 3,  |  |   |   |   |  |                               |
|             |   |  |   | 4, and 5)   |  |   |   |   |  |                               |
|             |   |  |   |   |  |   |   | Amount  |  |                               |
|             |   |  |   |   |  |   |   |   |  |                               |
|             |   |  |   |   | Date   | Expiration  |   |   |  |                               |
|             |   |  |   |   | Exercisable  | Date  |   |   |  |                               |
|             |   |  | Code V  | (A) (D)   |  |   |   |   |  |                               |
|             | Conversion<br>or Exercise<br>Price of<br>Derivative | Conversion (Month/Day/Year)<br>or Exercise<br>Price of<br>Derivative | Conversion (Month/Day/Year) Execution Date, if or Exercise any Price of (Month/Day/Year) Derivative | Conversion (Month/Day/Year) Execution Date, if Transaction or Exercise any Code Price of (Month/Day/Year) (Instr. 8)  Derivative Security | Conversion (Month/Day/Year) Execution Date, if TransactionNumber or Exercise any Code of Price of (Month/Day/Year) (Instr. 8) Derivative Security Acquired (A) or Disposed of (D) (Instr. 3, | Conversion or Exercise any Code of (Month/Day/Pear) Price of (Month/Day/Year) Derivative Security  Code of (Month/Day/Pear) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Date Exercisable | Conversion (Month/Day/Year) Execution Date, if any Code of (Month/Day/Year)  Price of (Month/Day/Year) (Instr. 8) Derivative  Security Securities  Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Date Expiration Date  Expiration Date  Code of (Month/Day/Year)  (Instr. 8) Derivative  Securities  Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date Amour or Exercise any Code of (Month/Day/Year) Underly Price of (Month/Day/Year) (Instr. 8) Derivative Securities (Instr. 5) Derivative Securities (Instr. 7) Derivative (A) or Disposed of (D) (Instr. 3, 4, and 5)  Date Expiration Date Amour Code of (Month/Day/Year) Underly Securities (Instr. 7) Date Expiration Exercisable Date Title | Conversion (Month/Day/Year) Execution Date, if any Code of (Month/Day/Year) Underlying Code of (Month/Day/Year) Underlying Securities  Price of (Month/Day/Year) (Instr. 8) Derivative  Security Securities  Securities  Acquired  (A) or  Disposed of (D)  (Instr. 3, 4, and 5)  Date  Expiration Date  Amount of (Month/Day/Year)  Underlying  Securities  Securities  (Instr. 3 and 4)  Amount of (Month/Day/Year)  Underlying  Securities  Securities  Faction Date  Expiration Date  Amount of (Month/Day/Year)  Underlying  Securities  Securities  Faction Date  Expiration Date  Expiration Date  Or Number of | Conversion of Month/Day/Year) |

#### **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |            |       |  |
|--------------------------------|---------------|-----------|------------|-------|--|
|                                | Director      | 10% Owner | Officer    | Other |  |
| Soh Simon                      |               |           | Chief      |       |  |
| 201 WELLS AVENUE SOUTH         |               |           | Lending    |       |  |
|                                |               |           | Officer of |       |  |
| RENTON, WA 98057               |               |           | FENIMB     |       |  |

#### **Signatures**

| /s/Simon Soh                    | 03/08/2019 |  |  |
|---------------------------------|------------|--|--|
| **Signature of Reporting Person | Date       |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects vesting of 3,384 shares of restricted stock of which 1,184 shares were withheld at the election of the Reporting Person for Issuer to pay the value of the vested shares to state and federal tax authorities for the Reporting Person's account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2