#### STATE STREET CORP

Form 4 May 07, 2007

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

2. Issuer Name and Ticker or Trading

STATE STREET CORP [STT]

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Month/Day/Year)

Filed(Month/Day/Year)

05/03/2007

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Symbol

(Print or Type Responses)

1(b).

(Last)

**STREET** 

1. Name and Address of Reporting Person \* SHELTON STANLEY W

> (First) (Middle)

STATE STREET CORPORATION, ONE LINCOLN

(Street)

BOSTON, MA 02111

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner \_X\_\_ Officer (give title Other (specify

below) **Executive Vice President** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	(A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/03/2007		Code V M	Amount 15,200	(D)	Price \$ 49.705	67,732 <u>(1)</u>	D		
Common Stock	05/03/2007		M	26,977	A	\$ 40.22	94,709 (1)	D		
Common Stock	05/03/2007		M	32,450	A	\$ 44.53	127,159 <u>(1)</u>	D		
Common Stock	05/03/2007		S	34,149	D	\$ 69.75	93,010 (1)	D		
Common Stock	05/03/2007		S	21,097	D	\$ 69.76	71,913 (1)	D		

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Common Stock	05/03/2007	S	7,581	D	\$ 69.77	64,332 (1)	D	
Common Stock	05/03/2007	S	9,600	D	\$ 69.79	54,732 (1)	D	
Common Stock	05/03/2007	S	2,200	D	\$ 69.8	52,532 (1)	D	
Common Stock						4,024	I	By 401(k) Plan (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Securities		Expiration Dat	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
\$ 49.705	05/03/2007		M	1	5,200	02/21/2004	02/21/2012	Common Stock	15,200
\$ 40.22	05/03/2007		M	2	26,977	(3)	12/18/2012	Common Stock	26,977
\$ 44.53	05/03/2007		M	3	32,450	<u>(4)</u>	03/01/2015	Common Stock	32,450
	Conversion or Exercise Price of Derivative Security  \$ 49.705	Conversion or Exercise Price of Derivative Security  \$ 49.705	Conversion or Exercise Price of Derivative Security  \$ 49.705   05/03/2007    \$ 40.22   05/03/2007	Conversion or Exercise Price of Derivative Security  Security  Execution Date, if any Code (Instr. 8)  Code V  Code V  \$ 49.705   05/03/2007   M	Conversion or Exercise Price of Derivative Security  \$\begin{align*} \text{(Month/Day/Year)} & \text{Execution Date, if any} & \text{Code} & \text{Security} & \text{Code} & \text{Security} & \text{(Instr. 8)} & \text{Acquir or Disp. (D)} & \text{(D)} & \text{(Instr. 3)} & \text{and 5)} & \text{Code V (A)} \\  \$\begin{align*} \text{49.705} & \text{05/03/2007} & \text{M} & \text{1} & \text{1} & \text{20/03/2007} & \text{M} & \text{1} & \text{20/03/2007} & \text{M} & \text{20/03/2007} & M	Conversion or Exercise Price of Derivative Security  Security    Code V (A) (D)	Conversion or Exercise Price of Derivative Security    Code   Securities   Code   Securities   (Month/Day/Year) or Disposed of (D) (Instr. 3, 4, and 5)   Date   Exercisable	Conversion or Exercise Price of Derivative Security    Month/Day/Year   Code   Code   Securities   Code   Securities   Code   Securities   Code   Securities   (Month/Day/Year)   Code   Securities   Code   Securities   (Month/Day/Year)   Code   Securities   Code   Code   Code   Securities   Code   Co	Conversion or Exercise   Price of Derivative Security   Execution Date, if any (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   Expiration Date (Month/Day/Year)   Expiration Date (Month/Day/Year)   Expiration Date (Month/Day/Year)   Expiration Date (Instr. 3 and Solve)   Expiration Date (Instr. 3)

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SHELTON STANLEY W STATE STREET CORPORATION ONE LINCOLN STREET BOSTON, MA 02111

**Executive Vice President** 

## **Signatures**

/s/ Richard P. Jacobson, Attorney-in-fact

05/07/2007

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes DRIP shares acquired through the date of this report.
  - The reporting person indirectly beneficially owns 4,024 shares of State Street common stock through State Street Corporation's 401(k)
- (2) plan, as of March 31, 2007. The plan accounts for interest in units of shares and a small amount of cash. As a result, the number of underlying shares may fluctuate from time to time.
- (3) The option vested in three (3) equal annual increments commencing 12/19/2004
- (4) The option vests in four (4) equal annual increments commencing 03/02/2006

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