### Edgar Filing: SCHNITZER STEEL INDUSTRIES INC - Form 4

#### SCHNITZER STEEL INDUSTRIES INC

Form 4 July 02, 2013

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

*See* Instruction 1(b).

(Print or Type Responses)

CARTER JOHN D

1. Name and Address of Reporting Person \*

			SCHNITZER STEEL INDUSTRIES INC [SCHN]				TRIES	(Check all applicable)			
(Last) 3200 NW Y	(Last) (First) (Middle) 3200 NW YEON AVENUE			3. Date of Earliest Transaction (Month/Day/Year) 06/28/2013					we title Other (specify below)		
				If Amendment, Date Original led(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
PORTLAN											
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Class A Common Stock	06/28/2013			F	8,202 (1)	D	\$ 23.38	98,431	D		
Class A Common Stock								1,500	I	By Trust	
Class A Common Stock								4,000	I	By Trust	
Class A Common								10,000	I	By Trust	

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Stock	ζ

Class A Common Stock	10,000	I	By Trust
Class A Common Stock	10,000	I	By Trust
Class A Common Stock	2,436	I	By Trust
Class A Common Stock	10,000	I	By Trust
Class A Common Stock	10,000	I	By Trust
Class A Common Stock	10,000	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CARTER JOHN D
3200 NW YEON AVENUE X
PORTLAND, OR 97210

## **Signatures**

Richard C. Josephson, Attorney-in-Fact

07/02/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were withheld by the issuer to cover witholding taxes upon vesting of restricted stock units.
- (2) Shares are held by John D. Carter, Trustee of the Carter 1996 Children's Trust fbo Christopher L. Carter.
- (3) Shares are held by John D. Carter, Trustee of the Carter 1996 Children's Trust fbo Douglas M. Carter.
- (4) Shares are held by John D. Carter, Trustee of the John D. Carter 2012 Trust fbo Douglas M. Carter.
- (5) Shares are held by 2011 Carter Children's Trust, between John D. Carter and Linda L. Carter as Trustors and John D. Carter as Trustee dated December 14, 2011 fbo Courtney Carter Charney.
- (6) Shares are held by 2011 Carter Children's Trust, between John D. Carter and Linda L. Carter as Trustors and John D. Carter as Trustee dated December 14, 2011 fbo Christopher L. Carter.
- (7) Shares are held by John D. Carter, Trustee of the John D. Carter 2011 Trust.
- (8) Shares are held by John D. Carter, Trustee of the John D. Carter 2012 Trust fbo Christopher L. Carter.
- (9) Shares are held by John D. Carter, Trustee of the John D. Carter 2012 Trust fbo Courtney Carter Charney.
- (10) Shares are held by 2011 Carter Children's Trust, between John D. Carter and Linda L. Carter as Trustors and John D. Carter as Trustee dated December 14, 2011 fbo Douglas M. Carter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3