Edgar Filing: Calhoun Lesley Ann - Form 4

Calnoun Les	ley Ann										
Form 4 February 05,	2019										
									OMB AF	PROVAL	
FORM	14 UNITE	D STATES	S SECUR	RITIES A	ND EXO	СНА	NGE C	OMMISSION	OMB	-	
				shington,					Number:	3235-0287	
Check the									Expires:	January 31,	
if no long subject to		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							Estimated a	2005 Werage	
Section 1									burden hou		
Form 4 o									response	. 0.5	
Form 5 obligation	1						U	e Act of 1934,			
may cont				•	•	· ·		1935 or Section	n		
See Instru	uction	30(h)	of the In	vestment	Compan	y Ac	t of 194	0			
1(b).											
(Print or Type F	Responses)										
				r Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
Calhoun Lesley Ann Symbol											
			Global I [GBT]	Blood The	erapeutic	s, In	с.	(Chec	k all applicable		
(Last)	(First)	(Middle)		Earliest Tr	ansaction			Director	10%	Owner	
(2000)	(1100)	(initiality)	(Month/D		ansaction			Officer (give	title Othe	er (specify	
C/O GLOBA	AL BLOOD		02/01/2	-				below)	below) ee Remarks		
THERAPEU	JTICS, INC., 1	71							ee Remarks		
	OINT BLVD.,	SUITE									
300											
	(Street)	(Street) 4. If Amer			ndment, Date Original			6. Individual or Joint/Group Filing(Check			
Filed(Mon			th/Day/Year)				Applicable Line)				
	NT							_X_ Form filed by C Form filed by M	One Reporting Pe Iore than One Re		
SOUTH SA								Person		1 0	
	O, CA 94080										
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction D			3.	4. Securit	ties A	cquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Yea		on Date, if					Securities	Form: Direct		
(Instr. 3)	Instr. 3) any Code (Instr. 3, 4 and (Month/Day/Year) (Instr. 8)				5)	Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership			
		(1.101111)	<i>suj, i cu)</i>	(11541 0)				Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported			
						or		Transaction(s) (Instr. 3 and 4)			
C				Code V	Amount	(D)	Price	(insure and i)			
Common Stock	02/01/2019			М	750	А	<u>(1)</u>	1,784	D		
Common	02/01/2019			F	307 <u>(2)</u>	D	\$	1,477	D		
Stock					_		48.44				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form (9-02)

Edgar Filing: Calhoun Lesley Ann - Form 4

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	 5. Number of tiorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (Right to Buy)	\$ 48.44	02/01/2019		A	13,500		(3)	01/31/2029	Common Stock	13,500
Restricted Stock Units	<u>(1)</u>	02/01/2019		А	18,500		<u>(4)</u>	(4)	Common Stock	18,500
Restricted Stock Units	(1)	02/01/2019		М		750	(5)	(5)	Common Stock	750

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Calhoun Lesley Ann C/O GLOBAL BLOOD THERAPEUTICS, INC. 171 OYSTER POINT BLVD., SUITE 300 SOUTH SAN FRANCISCO, CA 94080			See Remarks			
Olana atuwa a						

Signatures

/s/ Lesley Ann Calhoun, as Attorney-in-Fact	02/05/2019

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit ("RSU") represents the right to receive one share of the Issuer's Common Stock.

(2) Represents number of shares of Issuer's Common Stock withheld to satisfy the Reporting Person's tax obligations in connection with the vesting of 750 shares of Common Stock underlying the Reporting Person's RSUs.

Edgar Filing: Calhoun Lesley Ann - Form 4

The shares of Common Stock underlying the option vest in 16 equal quarterly installments over 4 years from February 1, 2019, so long as(3) the Reporting Person continues as an employee or other service provider of the Issuer through each vesting date. This option is subject to accelerated vesting upon termination without cause or resignation for good reason after a change of control of the Issuer.

The shares of Common Stock underlying the RSUs vest in 8 equal semi-annual installments over 4 years from February 1, 2019, so long
 (4) as the Reporting Person continues as an employee or other service provider of the Issuer through each vesting date. The RSUs are subject to accelerated vesting upon termination without cause or resignation for good reason after a change of control of the Issuer.

The shares of Common Stock underlying the RSUs vest in 8 equal semi-annual installments over 4 years from February 1, 2018, so long (5) as the Reporting Person continues as an employee or other service provider of the Issuer through each vesting date. The RSUs are subject

to accelerated vesting upon termination without cause or resignation for good reason after a change of control of the Issuer.

Remarks:

Vice President, Finance and Principal Accounting Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.