STANGL SANDRA Form 3 November 28, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

STANGL SANDRA

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

11/17/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

RH [RH]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

See Remarks

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O RH, 15 KOCH ROAD, SUITE K

(Street)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

**CORTE** MADERA. CAÂ 94925

(City) (State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

Beneficially Owned

(Instr. 4)

2. Amount of Securities

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

SEC 1473 (7-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable Expiration Date

Title

Amount or Number of Shares

Derivative Security

Direct (D) or Indirect

(I)

5.

						(Illstr. 3)	
Stock Option (Right to Buy)	(1)	05/14/2027	Common Stock	50,000	\$ 57.03	D	Â
Stock Option (Right to	(2)	08/28/2027	Common	25,000	\$ 45.21	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
STANGL SANDRA C/O RH 15 KOCH ROAD, SUITE K CORTE MADERA Â CAÂ 94925	Â	Â	See Remarks	Â		

## **Signatures**

/s/ Edward T. Lee as
Attorney-In-Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option award will vest with respect to 10% of the options on each of May 15, 2018 and May 15, 2019, 20% of the options on May 15, 2020 and 30% of the options on each of May 15, 2021 and May 15, 2022, subject to continuous service.
- (2) This option award will vest with respect to 15% of the options on each of August 29, 2018 and August 29, 2019, 20% of the options on August 29, 2020 and 25% of the options on each of August 29, 2021 and August 29, 2022, subject to continuous service.

Â

#### **Remarks:**

#### President, Chief Merchandising & Business Development Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2