### Edgar Filing: LATTICE SEMICONDUCTOR CORP - Form 4

#### LATTICE SEMICONDUCTOR CORP

Form 4 January 02, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

if no longer subject to Section 16. Form 4 or

Form 4 or Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(b) of the Investment Company Act of 1940

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Milstand Byron Wayne

Milstead Byron Wayne

(Last) (First) (Middle)

(Street)

(State)

(Zip)

5555 N.E. MOORE CT.

HILLSBORO, OR 97124

(City)

Stock

2. Issuer Name and Ticker or Trading

Symbol

LATTICE SEMICONDUCTOR CORP [LSCC]

(Month/Day/Year) 12/30/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner \_X\_ Officer (give title \_X\_ Other (specify below)

VP Corp, General Counsel / VP Corp, General Counsel

6. Individual or Joint/Group Filing(Check

Applicable Line)
\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

5.45

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership	7. Nature of
The state of the s	Indirect
(Instr. 3) any Code (D) Beneficially (D) or	Beneficial
(Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I)	Ownership
Following (Instr. 4)	(Instr. 4)
Reported	
(A) Transaction(s)	
$\frac{\text{or}}{(D)}$ $\frac{\text{or}}{(D)}$ (Instr. 3 and 4)	
Code V Amount (D) Price (Liberty and 1)	
Common 12/30/2013 M 972 A \$0 11,134 D	
Stock 12/30/2013 WI 9/2 R (1) 11,134 D	
Φ.	
Common $F^{(2)} = 344  D  = 10,790  D$	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number op f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisals Expiration Date (Month/Day/Year		7. Title and a Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit (RSU)	\$ 0 (3)	12/30/2013		M	972	03/30/2013(4)	<u>(5)</u>	Common Stock	972

## **Reporting Owners**

Reporting Owner Name / Address	Relationships
Reporting Owner Name / Address	

Director 10% Owner Officer Other

Milstead Byron Wayne 5555 N.E. MOORE CT. HILLSBORO, OR 97124

VP Corp, General Counsel VP Corp, General Counsel

De

(Ir

## **Signatures**

/s/ Byron W. 12/30/2013 Milstead

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units no purchase price for this transaction.
- These shares were retained by the Issuer in order to meet the tax withholding obligations of the Reporting Person in connection with the vesting of an installment of the restricted stock units. The amoun retained by the Issuer was not in excess of the amount of the tax
- (3) Each Restricted Stock Unit (RSU) represents a right to receive one share of the Issuers common shares without payment of specific consideration.
- (4) This grant of Restricted Stock Units (RSUs) vest as follows: 25% of the RSUs will vest upon the one-year anniversary of the grant date and an additional 6.25% of the RSUs vest each three months thereafter until 100% of the RSU grant is vested.
- (5) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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