

EATON VANCE CORP
Form 4
January 08, 2008

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
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(Print or Type Responses)

1. Name and Address of Reporting Person *
O REILLY VINCENT M

(Last) (First) (Middle)

**THE EATON VANCE
BUILDING, 255 STATE STREET**

(Street)

BOSTON, MA 021092617

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

EATON VANCE CORP [EV]

3. Date of Earliest Transaction
(Month/Day/Year)

01/07/2008

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Eaton Vance Corp. Non-voting Common Stock	01/07/2008		M	3,000 A	\$ 17.83	5,473.81	D
Eaton Vance Corp. Non-voting Common Stock	01/07/2008		M	12,000 A	\$ 15.05	17,473.81	D
Eaton Vance Corp.	01/07/2008		S	3,000 D	\$ 41.83	14,473.81	D

Non-voting
Common
Stock

Eaton Vance
Corp.

Non-voting 01/07/2008
Common
Stock

S 12,000 D \$ 41.83 2,473.81 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (right to buy) <u>(1)</u>	\$ 17.83	01/07/2008		M	3,000	<u>(2)</u>	12/21/2011	Eaton Vance Corp. Non-voting Common Stock	3,000
Option (right to buy) <u>(1)</u>	\$ 15.05	01/07/2008		M	12,000	<u>(2)</u>	12/20/2012	Eaton Vance Corp. Non-voting Common Stock	12,000
Option (right to buy)	\$ 18.14					<u>(2)</u>	12/19/2013	Eaton Vance Corp. Non-voting Common Stock	12,000
	\$ 25.39					(2)	12/17/2014		12,000

Option
(right to
buy)

Eaton
Vance
Corp.
Non-voting
Common
Stock

Option
(right to \$ 27.58
buy)

(2)

12/16/2015

Eaton
Vance
Corp.
Non-voting
Common
Stock

8,200

Option
(right to \$ 30.11
buy)

11/01/2006

11/01/2016

Eaton
Vance
Corp.
Non-voting
Common
Stock

8,000

Option
(right to \$ 48.39
buy)

11/01/2007

11/01/2017

Eaton
Vance
Corp.
Non-voting
Common
Stock

6,700

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
O REILLY VINCENT M THE EATON VANCE BUILDING 255 STATE STREET BOSTON, MA 021092617	X			

Signatures

By: Katie McManus, Attorney
in Fact

01/07/2008

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Granted under the 1998 Stock Option Plan, which is a 16b-3 plan.

(2) These options vest over a 4 year period at 25% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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