Edgar Filing: KING LUTHER CAPITAL MANAGEMENT CORP - Form 4

KING LUTHER CAPITAL MANAGEMENT CORP

Form 4 April 18, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person * KING LUTHER CAPITAL

MANAGEMENT CORP

2. Issuer Name and Ticker or Trading Symbol

Issuer

LAWSON PRODUCTS

(Month/Day/Year)

04/17/2019

INC/NEW/DE/ [LAWS] 3. Date of Earliest Transaction

Director

10% Owner Other (specify

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

301 COMMERCE SUITE 1600,

(State)

(First)

(Street)

(Middle)

(Zip)

4. If Amendment, Date Original

Filed(Month/Day/Year)

below) 6. Individual or Joint/Group Filing(Check

Officer (give title

5. Relationship of Reporting Person(s) to

(Check all applicable)

Applicable Line)

Form filed by One Reporting Person _X_ Form filed by More than One Reporting

Person

FORT WORTH, TX 76102

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 6. Ownership 7. Nature of 1.Title of 3. 4. Securities Acquired 5. Amount of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) Code Beneficially Beneficial (D) (D) or Indirect (I) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Ownership Following (Instr. 4) (Instr. 4) Reported

(A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

See 37,707 Common 04/17/2019 P Ι A \$ 32 4,338,956 footnotes (1) Stock (2) (3) (4) (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)		onNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		7. Tit Amou Under Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
coposing of the randor random	Director	10% Owner	Officer	Other			
KING LUTHER CAPITAL MANAGEMENT CORP 301 COMMERCE SUITE 1600 FORT WORTH, TX 76102		X					
LKCM Private Discipline Master Fund, SPC C/O LKCM PRIVATE DISCIPLINE MANAGEMENT 301 COMMERCE STREET, SUITE 1600 FORT WORTH, TX 76102		X					
LKCM Investment Partnership, L.P. 301 COMMERCE STREET SUITE 1600 FORT WORTH, TX 76102		X					
LKCM Core Discipline, L.P. 301 COMMERCE STREET, SUITE 1600 FORT WORTH, TX 76102		X					
LKCM Micro-Cap Partnership, L.P. 301 COMMERCE STREET SUITE 1600 FORT WORTH, TX 76102		X					
LKCM Headwater Investments II, L.P. 301 COMMERCE STREET SUITE 1600 FORT WORTH, TX 76102		X					
Headwater Lawson Investors, LLC 301 COMMERCE STREET SUITE 1600 FORT WORTH, TX 76102		X					
		X					

Reporting Owners 2

King Luther Jr 301 COMMERCE STREET, SUITE 1600 FORT WORTH, TX 76102

King John Bryan 301 COMMERCE STREET **SUITE 1600**

FORT WORTH, TX 76102

X

Date

X

Signatures

J. Bryan King, for Luther King Capital Management Corporation	04/18/2019	
**Signature of Reporting Person	Date	
J. Bryan King, for LKCM Private Discipline Master Fund, SPC	04/18/2019	
**Signature of Reporting Person	Date	
J. Luther King, Jr., for LKCM Investment Partnership, L.P.	04/18/2019	

**Signature of Reporting Person 04/18/2019 J. Bryan King, for LKCM Micro-Cap Partnership, L.P.

> **Signature of Reporting Person Date

04/18/2019 J. Bryan King, for LKCM Core Discipline, L.P.

> **Signature of Reporting Person Date

04/18/2019 J. Bryan King, for LKCM Headwater Investments II, L.P.

> **Signature of Reporting Person Date

J. Bryan King, for Headwater Lawson Investors, LLC 04/18/2019

> **Signature of Reporting Person Date

04/18/2019 J. Luther King, Jr.

> **Signature of Reporting Person Date

04/18/2019 J. Bryan King

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares of common stock purchased by Headwater Lawson Investors, LLC (HLI) pursuant to the terms of a Securities Purchase **(1)** Agreement, dated April 17, 2019, by and among HLI and the seller party thereto.
 - This Form 4 is filed by Luther King Capital Management Corporation (LKCM), LKCM Private Discipline Master Fund, SPC (PDP), LKCM Investment Partnership, L.P. (LIP), LKCM Micro-Cap Partnership, L.P. (Micro), LKCM Core Discipline, L.P. (Core), LKCM Headwater Investments II, L.P. (HW2), HLI, J. Luther King, Jr. and J. Bryan King (Reporting Persons). LKCM Private Discipline
- (2) Management, L.P. holds the management shares of PDP, and LKCM Alternative Management, LLC (PDP GP) is its general partner. LKCM Investment Partnership GP, LLC (LIP GP) is the general partner of LIP. LKCM Micro-Cap Management, L.P. (Micro GP) is the general partner of Micro. LKCM Core Discipline Management, L.P. (Core GP) is the general partner of Core. LKCM Headwater Investments II GP, L.P. (HW2 GP) is the general partner of HW2.
- LKCM is the investment manager for PDP, LIP, Micro, Core, HW2 and HLI. J. Luther King, Jr. is a controlling shareholder or member, (3) as applicable, of LKCM and LIP GP. J. Bryan King is a controlling member of HW2 GP and managing member of HLI. J. Luther King, Jr. and J. Bryan King are controlling members of PDP GP, Micro GP and Core GP.

Signatures 3

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- Includes (i) 1,689,358 shares held by PDP, (ii) 250,000 shares held by LIP, (iii) 26,102 shares held by Micro, (iv) 10,128 shares held by Core, (v) 592,326 shares held by HW2, (vi) 1,761,494 shares held by HLI, (vii) 2,500 shares held by a separately managed portfolio for which LKCM serves as investment manager, and (viii) 7,048 shares held by J. Bryan King.
 - Each of the Reporting Persons expressly disclaims membership in a group under the Securities Exchange Act of 1934, as amended, with respect to the securities reported herein, and this Form 4 shall not be deemed to be an admission that any such Reporting Person is a
- (5) member of such a group. Each of the Reporting Persons expressly disclaims beneficial ownership of the securities reported herein, except to the extent of its pecuniary interest therein, and this Form 4 shall not be deemed to be an admission that any such Reporting Person is the beneficial owner of such securities for purposes of the Securities Exchange Act of 1934, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.