Leiken Jonathan Form 4 February 15, 2019

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

Leiken Jonathan

(Last)

(City)

2. Issuer Name and Ticker or Trading

Symbol

DIEBOLD NIXDORF, Inc [DBD]

3. Date of Earliest Transaction (Month/Day/Year)

02/13/2019

(Check all applicable) Director

X\_ Officer (give title

10% Owner Other (specify

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

below)

SVP, CLO and Secretary

INCORPORATED, 5995 MAYFAIR

(Street)

(State)

(Middle)

(Zip)

RD.

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

NORTH CANTON, OH 44720

C/O DIEBOLD NIXDORF,

		Table	1 - 110H-D	ciivative	ccuri	ues Ac	quii cu, Disposcu	oi, oi benencia	ny Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	TransactionAcquired (A) or			Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed	of (D	))	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership
		•					Following	(Instr. 4)	(Instr. 4)
							Reported		
					(A)		Transaction(s)		
			C 1 W		or	ъ.	(Instr. 3 and 4)		
~			Code V	Amount	(D)	Price			
Common	02/13/2019		A	6,343	Α	\$ 0	130,687 (2)	D	
Shares	02/13/2019		А	(1)	А	ψU	130,007	D	
Common	02/13/2010		F		D	\$ 0	127 021 (2)	D	
Shares	02/13/2017		1	(1)	ט	ψυ	127,721 📉	Ъ	
Common Shares	02/13/2019		F	2,766 (1)	D	\$0	127,921 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercist Price of Derivative Security	e	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualif Stock Optio		3				02/05/2016	02/04/2025	Common Shares	21,397
Non-Qualif Stock Optio		)				02/03/2017	02/02/2026	Common Shares	24,581
Non-Qualif Stock Optio						02/08/2018	02/07/2027	Common Shares	46,875
Non-Qualif Stock Optio		5				02/01/2019	02/01/2028	Common Shares	24,517
Non-Qualif Stock Optio						01/29/2020	01/29/2029	Common Shares	43,715

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			

Leiken Jonathan C/O DIEBOLD NIXDORF, INCORPORATED 5995 MAYFAIR RD. NORTH CANTON, OH 44720

SVP, CLO and Secretary

### **Signatures**

Jonathan B. U2/15/2019

\*\*Signature of Date Reporting Person

Reporting Owners 2

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects delivery of shares earned under the 2017-2019 Synergy Grant, and withholding of shares pursuant to tax withholding right.
- (2) Number includes restricted stock units.
- Granted under the 1991 Equity and Performance Incentive Plan; option is generally exercisable in annual increments of 1/3, 1/3, 1/3 beginning one year from the date of grant.
- (4) Granted under the 2017 Equity and Performance Incentive Plan; option is generally exercisable in annual increments of 1/3, 1/3, 1/3 beginning one year from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.