

DIEBOLD NIXDORF, Inc  
 Form 4  
 February 10, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Mattes Andreas W

2. Issuer Name and Ticker or Trading Symbol  
 DIEBOLD NIXDORF, Inc [DBD]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 C/O DIEBOLD NIXDORF,  
 INCORPORATED, 5995 MAYFAIR  
 ROAD

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 02/08/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 CEO

(Street)  
 NORTH CANTON, OH 44720

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| Common Shares                   | 02/08/2017                           |  | A                              | V<br>A  | Amount<br>54,626<br>(1)<br>Price<br>\$ 26.6   | D  | 177,310 (2)                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| Non-Qualified Stock Option<br>(3)          | \$ 31.92   |                                      |  |                                |   | 06/06/2014 06/05/2023                                    | Common Stock  |
| Non-Qualified Stock Option<br>(3)          | \$ 34.13   |                                      |  |                                |   | 02/12/2015 02/11/2024                                    | Common Stock  |
| Non-Qualified Stock Option<br>(3)          | \$ 32.33   |                                      |  |                                |   | 02/05/2016 02/04/2025                                    | Common Shares   |
| Non-Qualified Stock Option<br>(3)          | \$ 27.39   |                                      |  |                                |   | 02/03/2017 02/02/2026                                    | Common Shares   |
| Non-Qualified Stock Options<br>(3)         | \$ 26.6  | 02/08/2017                           |  | A                              | 200,000   | 02/08/2018 02/07/2027                                    | Common Shares   |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Mattes Andreas W<br>C/O DIEBOLD NIXDORF, INCORPORATED<br>5995 MAYFAIR ROAD<br>NORTH CANTON, OH 44720 | X             |           | CEO     |       |

## Signatures

Chad F. Hesse, Attorney-in-fact for Andreas W. Mattes  
 Date: 02/10/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award of restricted stock units; each restricted stock unit represents a contingent right to receive one share of Diebold Nixdorf, Incorporated common stock.
- (2) Number includes restricted stock units.

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- (3) Granted under the 1991 Equity and Performance Incentive Plan; option is generally exercisable in annual increments of 1/3, 1/3, 1/3 beginning one year from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.