DIEBOLD INC

Form 4

February 09, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 January 31, Expires: 2005

OMB APPROVAL

Form 4 or Form 5 obligations

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Estimated average burden hours per response... 0.5

may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Rutt Sheila M

Symbol DIEBOLD INC [DBD]

(Check all applicable)

(Last)

(Middle)

3. Date of Earliest Transaction

C/O DIEBOLD,

(Month/Day/Year) 02/06/2016

Director 10% Owner __Other (specify X_ Officer (give title _

INCORPORATED, 5995 MAYFAIR

(Street)

(First)

below) VP, Chief HR Officer

ROAD

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

NORTH CANTON, OH 44720

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi or(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock							546	I	401(k) (1)	
Common Stock							11,683	I	Revocable Trust (2)	
Common Stock	02/06/2016		F	756 <u>(3)</u>	D	\$ 26.97	32,492 (4)	D		
Common Stock	02/06/2016		S	430 (5)	D	\$ 25.39	32,062 (4)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	te	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option	\$ 39.43					02/20/2007	02/19/2016	Common Stock	8,000
Non-Qualified Stock Option	\$ 47.27					02/14/2008	02/13/2017	Common Stock	7,500
Non-Qualified Stock Option	\$ 32.67					02/10/2012	02/09/2021	Common Stock	12,000
Non-Qualified Stock Option	\$ 34.89					02/08/2013	02/07/2022	Common Stock	16,500
Non-Qualified Stock Option	\$ 29.87					02/06/2014	02/05/2023	Common Stock	8,505
Non-Qualified Stock Option	\$ 34.13					02/12/2015	02/12/2024	Common Stock	15,376
Non-Qualified Stock Option	\$ 32.33					02/05/2016	02/05/2025	Common Shares	18,723
Non-Qualified Stock Option	\$ 27.39					02/03/2017	02/03/2026	Common Shares	19,553

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Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rutt Sheila M C/O DIEBOLD, INCORPORATED 5995 MAYFAIR ROAD NORTH CANTON, OH 44720

VP, Chief HR Officer

Signatures

Mary M. Swann, Attorney-in-fact for Sheila M. Rutt

02/09/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Number of 401(k) shares owned as of most current statement; fractional shares omitted.
- (2) Number of shares held by filer in a revocable trust over which filer has control.
- (3) Shares withheld pursuant to exercise of tax withholding right under the 1991 Equity and Performance Incentive Plan Restricted Share Award
- (4) Number includes restricted stock units.
- (5) This transaction was effected for financial and tax planning purposes pursuant to a Rule 10b5-1 Plan adopted by reporting person on December 10, 2015.
- (6) Granted under the 1991 Equity and Performance Incentive Plan; option is generally exercisable in annual increments of 25% beginning one year from date of grant.
- (7) Granted under the 1991 Equity and Performance Incentive Plan; option is generally exercisable in annual increments of 1/3, 1/3, 1/3 beginning one year from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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