

DIEBOLD INC  
Form 4  
February 09, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Rutt Sheila M

(Last) (First) (Middle)

C/O DIEBOLD,  
INCORPORATED, 5995 MAYFAIR  
ROAD

(Street)

NORTH CANTON, OH 44720

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
DIEBOLD INC [DBD]

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/06/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
VP, Chief HR Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock                    |                                      |  |                                |   | 546   | I  | 401(k) <sup>(1)</sup>                                 |
| Common Stock                    |                                      |  |                                |   | 11,683  | I  | Revocable Trust <sup>(2)</sup>                        |
| Common Stock                    | 02/06/2016                           |  | F                              | 756 <sup>(3)</sup> D  | \$ 26.97 32,492 <sup>(4)</sup>  | D  |   |
| Common Stock                    | 02/06/2016                           |  | S                              | 430 <sup>(5)</sup> D  | \$ 25.39 32,062 <sup>(4)</sup>  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |               |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|---------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title         | Amount or Number of Shares |
| Non-Qualified Stock Option <u>(6)</u>      | \$ 39.43   |                                      |  |                                |   | 02/20/2007   | 02/19/2016  | Common Stock  | 8,000                      |
| Non-Qualified Stock Option <u>(6)</u>      | \$ 47.27   |                                      |  |                                |   | 02/14/2008   | 02/13/2017  | Common Stock  | 7,500                      |
| Non-Qualified Stock Option <u>(6)</u>      | \$ 32.67   |                                      |  |                                |   | 02/10/2012   | 02/09/2021  | Common Stock  | 12,000                     |
| Non-Qualified Stock Option <u>(6)</u>      | \$ 34.89   |                                      |  |                                |   | 02/08/2013   | 02/07/2022  | Common Stock  | 16,500                     |
| Non-Qualified Stock Option <u>(7)</u>      | \$ 29.87   |                                      |  |                                |   | 02/06/2014   | 02/05/2023  | Common Stock  | 8,505                      |
| Non-Qualified Stock Option <u>(7)</u>      | \$ 34.13   |                                      |  |                                |   | 02/12/2015   | 02/12/2024  | Common Stock  | 15,376                     |
| Non-Qualified Stock Option <u>(7)</u>      | \$ 32.33   |                                      |  |                                |   | 02/05/2016   | 02/05/2025  | Common Shares | 18,723                     |
| Non-Qualified Stock Option <u>(7)</u>      | \$ 27.39   |                                      |  |                                |   | 02/03/2017   | 02/03/2026  | Common Shares | 19,553                     |

# Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                      |       |
|---|---------------|-----------|----------------------|-------|
|   | Director      | 10% Owner | Officer              | Other |
| Rutt Sheila M<br>C/O DIEBOLD, INCORPORATED<br>5995 MAYFAIR ROAD<br>NORTH CANTON, OH 44720 |               |           | VP, Chief HR Officer |       |

# Signatures

|   |            |
|---|------------|
| Mary M. Swann, Attorney-in-fact for Sheila<br>M. Rutt | 02/09/2016 |
| **Signature of Reporting Person                       | Date       |

# Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Number of 401(k) shares owned as of most current statement; fractional shares omitted.
- (2) Number of shares held by filer in a revocable trust over which filer has control.
- (3) Shares withheld pursuant to exercise of tax withholding right under the 1991 Equity and Performance Incentive Plan - Restricted Share Award
- (4) Number includes restricted stock units.
- (5) This transaction was effected for financial and tax planning purposes pursuant to a Rule 10b5-1 Plan adopted by reporting person on December 10, 2015.
- (6) Granted under the 1991 Equity and Performance Incentive Plan; option is generally exercisable in annual increments of 25% beginning one year from date of grant.
- (7) Granted under the 1991 Equity and Performance Incentive Plan; option is generally exercisable in annual increments of 1/3, 1/3, 1/3 beginning one year from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.