

GLAXOSMITHKLINE PLC

Form 6-K

January 11, 2018

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

For period ending 11 January 2018

GlaxoSmithKline plc

(Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS

(Address of principal executive offices)

Indicate by check mark whether the registrant files or  
will file annual reports under cover Form 20-F or Form 40-F

Form 20-F x Form 40-F

--

Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes No x

GlaxoSmithKline plc (the 'Company')  
Transaction notification

1. Details of PDMR/person closely associated with them ('PCA')
  - a) Name Ms E Walmsley
  - b) Position/status Chief Executive Officer
  - c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
  - a) Name GlaxoSmithKline plc
  - b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
  - a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')  
ISIN: GB0009252882
  - b) Nature of the transaction Acquisition of Ordinary Shares under the Company's Share Reward Plan.  
Price(s) Volume(s)
  - c) Price(s) and volume(s)

Edgar Filing: GLAXOSMITHKLINE PLC - Form 6-K

£13.3289 10 (partnership shares)  
£13.3289 10 (matching shares)

Aggregated information

- d) Aggregated volume 20 Ordinary Shares  
Price £13.3289
- e) Date of the transaction 2018-01-10  
Place of the transaction
- f) transaction London Stock Exchange (XLON)

1. Details of PDMR/person closely associated with them ('PCA')
  - a) Name Mr R G Connor
  - b) Position/status President, Global Manufacturing & Supply
  - c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
  - a) Name GlaxoSmithKline plc
  - b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
  - a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')  
ISIN: GB0009252882
  - b) Nature of the transaction Acquisition of Ordinary Shares under the Company's Share Reward Plan.  
Price(s) Volume(s)
  - c) Price(s) and volume(s) £13.3289 10 (partnership shares)  
£13.3289 10 (matching shares)

Aggregated information

- d) Aggregated volume 20 Ordinary Shares  
Price £13.3289
- e) Date of the transaction 2018-01-10

transaction

Place of the

f) transaction London Stock Exchange (XLON)

1. Details of PDMR/person closely associated with them ('PCA')
  - a) Name Mr S Dingemans
  - b) Position/status Chief Financial Officer
  - c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
  - a) Name GlaxoSmithKline plc
  - b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
  - a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')  
ISIN: GB0009252882
  - b) Nature of the transaction Acquisition of Ordinary Shares under the Company's Share Reward Plan.
  - c) Price(s) and volume(s)
 

| Price(s) | Volume(s)               |
|----------|-------------------------|
| £13.3289 | 10 (partnership shares) |
| £13.3289 | 10 (matching shares)    |

#### Aggregated information

- d)
 

|                   |                    |
|-------------------|--------------------|
| Aggregated volume | 20 Ordinary Shares |
| Price             | £13.3289           |
- e) Date of the transaction 2018-01-10
- f) Place of the transaction London Stock Exchange (XLON)

1. Details of PDMR/person closely associated with them ('PCA')
  - a) Name Mr N Hirons
  - b) Position/status SVP, Global Ethics & Compliance
  - c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
  - a) Name GlaxoSmithKline plc
  - b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
  - a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')  
ISIN: GB0009252882
  - b) Nature of the transaction Acquisition of Ordinary Shares under the Company's Share Reward Plan.
  - c) Price(s) and volume(s)
 

| Price(s) | Volume(s)               |
|----------|-------------------------|
| £13.3289 | 10 (partnership shares) |
| £13.3289 | 10 (matching shares)    |

Aggregated information

- d)
 

|                   |                    |
|-------------------|--------------------|
| Aggregated volume | 20 Ordinary Shares |
| Price             | £13.3289           |
- e) Date of the transaction 2018-01-10
- f) Place of the transaction London Stock Exchange (XLON)

1. Details of PDMR/person closely associated with them ('PCA')
  - a) Name Mr L Miels
  - b) Position/status President, Global Pharmaceuticals
  - c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Edgar Filing: GLAXOSMITHKLINE PLC - Form 6-K

- a) Name GlaxoSmithKline plc
- b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
- a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')  
ISIN: GB0009252882
- b) Nature of the transaction Acquisition of Ordinary Shares under the Company's Share Reward Plan.
- c) Price(s) and volume(s)
- | Price(s) | Volume(s)               |
|----------|-------------------------|
| £13.3289 | 10 (partnership shares) |
| £13.3289 | 10 (matching shares)    |

Aggregated information

- d)
- |                   |                    |
|-------------------|--------------------|
| Aggregated volume | 20 Ordinary Shares |
| Price             | £13.3289           |
- e) Date of the transaction 2018-01-10
- f) Place of the transaction London Stock Exchange (XLON)

1. Details of PDMR/person closely associated with them ('PCA')
- a) Name Mr D S Redfern
- b) Position/status Chief Strategy Officer
- c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
- a) Name GlaxoSmithKline plc
- b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
- a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')  
ISIN: GB0009252882

|                              |   |                         |
|------------------------------|---|-------------------------|
| b) Nature of the transaction | Acquisition of Ordinary Shares under the Company's Share Reward Plan. |                         |
|                              | Price(s)  | Volume(s)               |
| c) Price(s) and volume(s)    | £13.3289  | 10 (partnership shares) |
|                              | £13.3289  | 10 (matching shares)    |

Aggregated information

|                            |                              |  |
|----------------------------|------------------------------|--|
| d)                         | 20 Ordinary Shares           |  |
| Aggregated volume          | £13.3289                     |  |
| Price                      |                              |  |
| e) Date of the transaction | 2018-01-10                   |  |
| Place of the transaction   | London Stock Exchange (XLON) |  |

1. Details of PDMR/person closely associated with them ('PCA')
  - a) Name Ms C Thomas
  - b) Position/status SVP, Human Resources
  - c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
  - a) Name GlaxoSmithKline plc
  - b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
 

|  |  |
|--|--|
| a) Description of the financial instrument | Ordinary shares of 25 pence each ('Ordinary Shares')<br>ISIN: GB0009252882 |
| b) Nature of the transaction               | Acquisition of Ordinary Shares under the Company's Share Reward Plan.      |
|  | Price(s) Volume(s)   |
| c) Price(s) and volume(s)                  | £13.3289 10 (partnership shares)   |
|  | £13.3289 10 (matching shares)  |
| d)   |  |

Aggregated information

|                   |                    |
|-------------------|--------------------|
| Aggregated volume | 20 Ordinary Shares |
| Price             | £13.3289           |

- |                             |                              |
|-----------------------------|------------------------------|
| e) Date of the transaction  | 2018-01-10                   |
| f) Place of the transaction | London Stock Exchange (XLON) |

1. Details of PDMR/person closely associated with them ('PCA')
  - a) Name Mr P C Thomson
  - b) Position/status President, Global Affairs
  - c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
  - a) Name GlaxoSmithKline plc
  - b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
 

| a) Description of the financial instrument | Ordinary shares of 25 pence each ('Ordinary Shares')<br>ISIN: GB0009252882  |          |           |          |                        |          |                     |
|--|---|----------|-----------|----------|------------------------|----------|---------------------|
| b) Nature of the transaction               | Acquisition of Ordinary Shares under the Company's Share Reward Plan.   |          |           |          |                        |          |                     |
| c) Price(s) and volume(s)                  | <table> <tr> <th>Price(s)</th> <th>Volume(s)</th> </tr> <tr> <td>£13.3289</td> <td>9 (partnership shares)</td> </tr> <tr> <td>£13.3289</td> <td>9 (matching shares)</td> </tr> </table> | Price(s) | Volume(s) | £13.3289 | 9 (partnership shares) | £13.3289 | 9 (matching shares) |
| Price(s)                                   | Volume(s)   |          |           |          |                        |          |                     |
| £13.3289                                   | 9 (partnership shares)  |          |           |          |                        |          |                     |
| £13.3289                                   | 9 (matching shares)   |          |           |          |                        |          |                     |

Aggregated information

- |                            |                    |
|----------------------------|--------------------|
| d) Aggregated volume       | 18 Ordinary Shares |
| Price                      | £13.3289           |
| e) Date of the transaction | 2018-01-10         |



transaction  
Place of the  
f) transaction London Stock Exchange (XLON)

1. Details of PDMR/person closely associated with them ('PCA')
  - a) Name Dr P J T Vallance
  - b) Position/status President, R&D
  - c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
  - a) Name GlaxoSmithKline plc
  - b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
  - a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')  
ISIN: GB0009252882
  - b) Nature of the transaction Acquisition of Ordinary Shares under the Company's Share Reward Plan.
  - c) Price(s) and volume(s)
 

| Price(s) | Volume(s)               |
|----------|-------------------------|
| £13.3289 | 10 (partnership shares) |
| £13.3289 | 10 (matching shares)    |
  - d) Aggregated information
 

|                   |                    |
|-------------------|--------------------|
| Aggregated volume | 20 Ordinary Shares |
| Price             | £13.3289           |
  - e) Date of the transaction 2018-01-10
  - f) Place of the transaction London Stock Exchange (XLON)

1. Details of PDMR/person closely associated with them ('PCA')
  - a) Name Mrs V A Whyte
  - b) Position/status Company Secretary
  - c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
  - a) Name GlaxoSmithKline plc
  - b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
  - a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')  
ISIN: GB0009252882
  - b) Nature of the transaction Acquisition of Ordinary Shares under the Company's Share Reward Plan.
  - c) Price(s) and volume(s)
 

| Price(s) | Volume(s)               |
|----------|-------------------------|
| £13.3289 | 10 (partnership shares) |
| £13.3289 | 10 (matching shares)    |
  - d) Aggregated information
 

|                   |                    |
|-------------------|--------------------|
| Aggregated volume | 20 Ordinary Shares |
| Price             | £13.3289           |
  - e) Date of the transaction 2018-01-10
  - f) Place of the transaction London Stock Exchange (XLON)

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc

(Registrant)

Date: January 11, 2018

By: VICTORIA WHYTE

-----

Victoria Whyte  
Authorised Signatory for and on  
behalf of GlaxoSmithKline plc