## Edgar Filing: MOORE STEVEN L - Form 4

MOODE STEVEN I

Form 4									
	ORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION						OMB APPROVAL		
	UNITED	Washington, D.C. 20549					OMB Number:	3235-0287	
Check thi if no long subject to Section 10 Form 4 or	er <b>STATEM</b> 6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section						Expires: January 31 2005 Estimated average burden hours per response 0.5	
Form 5 obligatior may conti <i>See</i> Instru 1(b).	$\frac{18}{1000}$ Section 17(a)							1	
(Print or Type R	Responses)								
MOODE STEVEN I			2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)		XELWORKS,	_	[LW]		(Checl	k all applicable	)
~ /	(First) (M	(Mo	Date of Earliest Tr onth/Day/Year) /31/2018	ansaction			Director X Officer (give below) VP, Cl		Owner r (specify r
	(Street)		f Amendment, Da ed(Month/Day/Year)	-			6. Individual or Jo Applicable Line) _X_ Form filed by C		-
SAN JOSE,	CA 95110						Form filed by M Person	ore than One Re	porting
(City)	(State) (	(Zip)	Table I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Dat any (Month/Day/Y	Code	(Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock	01/31/2018		Р	3,000	А	φ 1.734 (1)	469,570	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 0.6					(2)	03/23/2019	Common Stock	100,000

## **Reporting Owners**

Reporting Owner Name / Addre	ess	Relationships						
	Director	10% Owner	Officer	Other				
MOORE STEVEN L 224 AIRPORT PARKWAY SUITE 400 SAN JOSE, CA 95110			VP, CFO & Treasurer					
Signatures								
Steven L Moore	01/31/2018							

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person is voluntarily reporting the acquisition of shares of the Company's common stock pursuant to the Company's

- (1) Employee Stock Purchase Plan (ESPP) and the purchase price reflects the allowable discount allowed under the ESPP. This transaction is exempt under Rule 16b-3(c).
- (2) Becomes exercisable on a monthly basis over 3 years, commencing the last day of the month of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.