Semler Scientific, Inc. Form 4 February 27, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

obligations

may continue.

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(Print or Type Responses)

1. Name and Address of Reporting Person \* Semler Shirley L.

(Last) (First) (Middle)

C/O SEMLER SCIENTIFIC. INC., 2330 NW EVERETT ST.

(Street)

2. Issuer Name and Ticker or Trading Symbol

Semler Scientific, Inc. [SMLR]

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Month/Day/Year) 02/26/2014

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_\_ 10% Owner \_X\_\_ Director \_\_ Other (specify Officer (give title

6. Individual or Joint/Group Filing(Check

below)

**OMB APPROVAL** 

3235-0287

January 31,

2005

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\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### PORTLAND, OR 97210

(City)	(State)	(Zip) Tab	le I - Non-I	Derivative	Secur	ities Ac	quired, Disposed	d of, or Benefi	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	02/26/2014		M <u>(1)</u>	33,334	A	\$ 4.5	630,640	I	By Herbert J. Semler and Shirley L. Semler, as Co-Trustees of the Semler Trust
Common Stock	02/26/2014		M <u>(1)</u>	24,306	A	\$ 4.5	654,946	I	By Herbert J. Semler and Shirley L. Semler, as Co-Trustees

### Edgar Filing: Semler Scientific, Inc. - Form 4

Common Stock	02/26/20	14	F <u>(1)</u>	37,055	D	\$7 61	7,891 I	B S S S C	f the Semler rust  y Herbert J. emler and hirley L. emler, as o-Trustees f the Semler rust	
Reminder: Ro	eport on a sepa	arate line for each clas		Person informa require display number	s who ation d to r s a cu	o respond contained espond u urrently v	I to the collect in this form inless the formalid OMB con	are not n	EC 1474 (9-02)	
			itive Securities Acqu uts, calls, warrants,							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Preferred Stock Warrant (right to buy)	\$ 4.5	02/26/2014		M <u>(1)</u>		33,334	06/07/2012	05/31/2023	Common Stock	33,334
Series A Preferred Stock Warrant (right to buy)	\$ 4.5	02/26/2014		M <u>(1)</u>		24,306	06/07/2012	06/30/2023	Common Stock	24,306

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
Semler Shirley L.							
C/O SEMLER SCIENTIFIC, INC.	X	X					
2330 NW EVERETT ST.	Λ	Λ					
PORTLAND, OR 97210							

# **Signatures**

/s/ Douglas Murphy-Chutorian,
attorney-in-fact

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities were cashlessly exercised immediately prior to Issuer's initial public offering and automatically converted into shares of Issuer's common stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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