

Solomon Ricky D
Form 4
January 14, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Solomon Ricky D

2. Issuer Name and Ticker or Trading Symbol
ASPEN GROUP, INC. [ASPU]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
276 FIFTH AVENUE, SUITE 306

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
01/09/2019

Director 10% Owner
 Officer (give title below) Other (specify below)

NEW YORK, NY 10001

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	01/10/2019		M		6,771	A	\$ 1.86
Common Stock	01/10/2019		M		8,334	A	\$ 2.4
Common Stock	01/10/2019		M		8,334	A	\$ 2.4312
Common Stock	01/10/2019		F		10,529	D	\$ 5.02
Common Stock	01/10/2019		S		2,000	D	\$ 5.15
Common Stock	01/10/2019		M		87,719	A	\$ 2.28

Edgar Filing: Solomon Ricky D - Form 4

Common Stock								
Common Stock	01/10/2019		M	21,930	A	\$ 2.28	230,209	D
Common Stock	01/10/2019		F	48,467	D	\$ 5.158	181,742	D
Common Stock	01/11/2019		S	2,400	D	\$ 5.0126	179,342	D
Common Stock	01/09/2019		S	6,000	D	\$ 5.053	9,000	I

Held in IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy) ⁽¹⁾	\$ 1.86	01/10/2019		M	6,771	09/04/2014	09/04/2019	Common Stock	6,771
Stock Options (Right to Buy) ⁽¹⁾	\$ 2.4	01/10/2019		M	8,334	09/16/2014	09/16/2019	Common Stock	8,334
Stock Options (Right to Buy) ⁽¹⁾	\$ 2.4312	01/10/2019		M	8,334	12/11/2014	12/11/2019	Common Stock	8,334
Warrants ⁽²⁾	\$ 2.28	01/10/2019		M	87,719	03/10/2014	03/10/2019	Common Stock	87,719
	\$ 2.28	01/10/2019		M	21,930	06/24/2014	06/24/2019		21,930

Warrants
(2)Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Solomon Ricky D 276 FIFTH AVENUE SUITE 306 NEW YORK, NY 10001	X			

Signatures

/s/ Rick D.	
Solomon	01/14/2019
<u> </u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person cashlessly exercised the stock options which were expiring in 2019. The exercise of the stock options was exempt under Rule 16b-6 and the disposition of shares to the Issuer was pre-approved in advance by the Issuer's Board of Directors as required by Rule 16b-3.
- (2) The Reporting Person cashlessly exercised warrants which were expiring in 2019. The exercise of the warrants was exempt under Rule 16b-6 and the disposition of shares to the Issuer was pre-approved in advance by the Issuer's Board of Directors as required by Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.