#### ARCHER TIMOTHY

Form 4 June 07, 2012

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Number: 3235-0287

Synings: January 31,

Expires: 2005
Estimated average

burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

| 1. Name and Ad<br>ARCHER TI                              | •        | rting Person * | 2. Issuer Name <b>and</b> Ticker or Trading Symbol LAM RESEARCH CORP [LRCX] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |  |
|--|----------|----------------|---|--|--|--|--|
| (Last)   | (First)  | (Middle)       | 3. Date of Earliest Transaction   | (Check an applicable)  |  |  |  |
| C/O LAM RESEARCH<br>CORPORATION, 4650 CUSHING<br>PARKWAY |          |                | (Month/Day/Year)<br>06/04/2012  | Director 10% Owner _X_ Officer (give title Other (specify below)  Chief Operating Officer            |  |  |  |
|  | (Street) |                | 4. If Amendment, Date Original  | 6. Individual or Joint/Group Filing(Check  |  |  |  |
| FREMONT, CA 94538  |          |                | Filed(Month/Day/Year)   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |
| (City)   | (State)  | (Zip)          | Table I - Non-Derivative Securities Acc                                     | quired, Disposed of, or Beneficially Owned   |  |  |  |

| (City)                               | (State) (                            | Table   | e I - Non-D     | erivative Se                            | curiti               | es Acqı    | iired, Disposed of   | f, or Beneficial   | ly Owned  |
|--------------------------------------|--------------------------------------|---|-----------------|---|----------------------|------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 8) | 4. Securitie on(A) or Disp (Instr. 3, 4 | osed cand 5)  (A) or | of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 06/04/2012                           |   | Code V A        | Amount 145,468 (3)                      | (D)                  | Price (1)  | 0  | D  |   |
| Common<br>Stock                      | 06/04/2012                           |   | A               | 4,227                                   | A                    | <u>(2)</u> | 0  | I  | By 401(k)   |
| Common<br>Stock                      | 06/04/2012                           |   | A               | 500                                     | A                    | <u>(2)</u> | 150,195 (3)  | I  | By Spouse 401(k)  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474

(9-02)

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## displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(right to<br>buy)                | \$ 36.29  | 06/04/2012                              |   | A                                      | 22,500   | 11/15/2005   | 12/18/2013         | Common<br>Stock   | 22,500                              |
| Stock<br>Option<br>(right to<br>buy)                | \$ 27.77  | 06/04/2012                              |   | A                                      | 18,000   | 11/15/2005   | 06/11/2014         | Common<br>Stock   | 18,000                              |
| Stock<br>Option<br>(right to<br>buy)                | \$ 24.43  | 06/04/2012                              |   | A                                      | 33,750   | 12/16/2008   | 12/16/2014         | Common<br>Stock   | 33,750                              |
| Stock<br>Option<br>(right to<br>buy)                | \$ 29.68  | 06/04/2012                              |   | A                                      | 73,125   | 12/14/2010   | 12/14/2016         | Common<br>Stock   | 73,125                              |
| Stock<br>Option<br>(right to<br>buy)                | \$ 23.25  | 06/04/2012                              |   | A                                      | 56,250   | 12/13/2011   | 12/13/2017         | Common<br>Stock   | 56,250                              |
| Stock<br>Option<br>(right to<br>buy)                | \$ 11.09  | 06/04/2012                              |   | A                                      | 42,188   | <u>(9)</u>   | 12/18/2018         | Common<br>Stock   | 42,188                              |
| Stock<br>Option<br>(right to<br>buy)                | \$ 21.28  | 06/04/2012                              |   | A                                      | 84,375   | (11)   | 12/17/2019         | Common<br>Stock   | 84,375                              |
| Stock<br>Option<br>(right to                        | \$ 29.34  | 06/04/2012                              |   | A                                      | 40,500   | (13)   | 12/16/2020         | Common<br>Stock   | 40,500                              |

buy)

Stock

Option (right to buy)

A 45,000 12/15/2009 12/15/2015 Common Stock 45,000

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ARCHER TIMOTHY C/O LAM RESEARCH CORPORATION 4650 CUSHING PARKWAY FREMONT, CA 94538

Chief Operating Officer

### **Signatures**

Kym Hall by Power of Attorney 06/07/2012

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Acquired in connection with the merger of BLMS Inc. ("Merger Sub"), a wholly owned subsidiary of Lam Research Corporation ("Lam Research"), with and into Novellus Systems, Inc. ("Novellus"), pursuant to the terms of the Agreement and Plan of Merger by and

- among Merger Sub, Lam Research and Novellus dated December 14, 2011 (the "Merger"). In connection with the Merger, Mr. Archer received 145,468 shares of Lam Research common stock in exchange for 129,306 shares of Novellus common stock. On June 4, 2012, the effective date of the Merger, the closing price of Lam Research's common stock was \$35.99 and the closing price of Novellus' common stock was \$40.39 per share.
- (2) Acquired in connection with the Merger. The indirect holdings of the 401(k) are held in the Company unitized stock fund.
- (3) Amount reported includes shares subject to unvested Restricted Stock Units.
- Received in the Merger in exchange for an employee stock option to acquire 20,000 shares of Novellus common stock for \$40.82 per share.
- (5) Received in the Merger in exchange for an employee stock option to acquire 16,000 shares of Novellus common stock for \$31.24 per share
- (6) Received in the Merger in exchange for an employee stock option to acquire 30,000 shares of Novellus common stock for \$27.48 per share.
- (7) Received in the Merger in exchange for an employee stock option to acquire 65,000 shares of Novellus common stock for \$33.39 per share
- (8) Received in the Merger in exchange for an employee stock option to acquire 50,000 shares of Novellus common stock for \$26.15 per share.
- (9) The option vests fully on August 18, 2012.
- (10) Received in the Merger in exchange for an employee stock option to acquire 37,500 shares of Novellus common stock for \$12.47 per share.
- (11) The option vests in three equal annual installments beginning December 17, 2011.

(12)

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Received in the Merger in exchange for an employee stock option to acquire 75,000 shares of Novellus common stock for \$23.93 per share.

- (13) The option vests in four equal annual installments beginning December 16, 2011.
- (14) Received in the Merger in exchange for an employee stock option to acquire 36,000 shares of Novellus common stock for \$33.00 per share.
- (15) Received in the Merger in exchange for an employee stock option to acquire 40,000 shares of Novellus common stock for \$24.76 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.