TESLA MOTORS INC

Form 4

September 04, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * Ahuja Deepak

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(Middle)

TESLA MOTORS INC [TSLA]

(Check all applicable)

3500 DEER CREEK ROAD

3. Date of Earliest Transaction

(Month/Day/Year) 09/02/2014

Director 10% Owner X_ Officer (give title _ Other (specify

below)

below) **CFO**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

PALO ALTO, CA 94304

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	e Secu	rities Acqui	red, Disposed of,	or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	09/02/2014		M	810	A	\$ 2.7	3,962 <u>(1)</u>	D	
Common Stock	09/02/2014		S	810	D	\$ 284.105 (2)	3,152	D	
Common Stock	09/02/2014		M	1,755	A	\$ 2.7	4,907	D	
Common Stock	09/02/2014		S	1,755	D	\$ 283.321 (3)	3,152	D	
	09/02/2014		M	500	A	\$ 2.7	3,652	D	

Common Stock							
Common Stock	09/02/2014	S	500	D	\$ 282.168 (4)	3,152	D
Common Stock	09/02/2014	M	500	A	\$ 2.7	3,652	D
Common Stock	09/02/2014	S	500	D	\$ 281.382 (5)	3,152	D
Common Stock	09/02/2014	M	400	A	\$ 2.7	3,552	D
Common Stock	09/02/2014	S	400	D	\$ 279.428 <u>(6)</u>	3,152	D
Common Stock	09/02/2014	M	200	A	\$ 2.7	3,352	D
Common Stock	09/02/2014	S	200	D	\$ 277.74 (7)	3,152	D
Common Stock	09/02/2014	M	735	A	\$ 2.7	3,887	D
Common Stock	09/02/2014	S	735	D	\$ 276.851 (8)	3,152	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

S

Common

Common

Stock

Stock

09/02/2014

09/02/2014

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

3,252

3,152

D

D

\$ 2.7

\$ 274.7

(Instr. 3, 4,

SEC 1474 (9-02)

 $\label{thm:convertible} \textbf{Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned} \\ \textit{(e.g., puts, calls, warrants, options, convertible securities)}$

100

100

D

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	of Derivative	Expiration Date	Underlying Securitie
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired		
	Derivative				(A) or		
	Security				Disposed of		
					(D)		

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and 5)

			Code V (A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M <u>(10)</u>	810	05/13/2009(11)	04/12/2016	Common Stock	810
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M <u>(10)</u>	1,755	05/13/2009(11)	04/12/2016	Common Stock	1,75
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M <u>(10)</u>	500	05/13/2009(11)	04/12/2016	Common Stock	500
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M(10)	500	05/13/2009(11)	04/12/2016	Common Stock	500
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M <u>(10)</u>	400	05/13/2009(11)	04/12/2016	Common Stock	400
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M <u>(10)</u>	200	05/13/2009(11)	04/12/2016	Common Stock	200
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M <u>(10)</u>	735	05/13/2009(11)	04/12/2016	Common Stock	735
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M(10)	100	05/13/2009(11)	04/12/2016	Common Stock	100

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ahuja Deepak

3500 DEER CREEK ROAD CFO

PALO ALTO, CA 94304

Signatures

/s/ Deepak Ahuja 09/04/2014

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount of securities beneficially owned includes 1 share acquired under the Tesla Motors, Inc. Employee Stock Purchase Plan on August 29, 2014.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$283.91 to \$284.9099, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$282.90 to \$283.8999, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$281.87 to \$282.8699, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$280.85 to \$281.8499, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$278.82 to \$279.8199, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$277.56 to \$278.5599, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$276.42 to \$277.4199, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$274.70 to \$275.6999, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

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- (10) The stock option exercise and sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 3, 2014.
- (11) 1/4th of the shares subject to the option first became vested and exercisable on the date listed and 1/48th of the shares subject to the option became vested and exercisable each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.