EXPRESS, INC. Form 4 July 16, 2015

### FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or Vashington, D.C. 20549 Num

Expi

Expires: January 31, 2005

Section 16.
Form 4 or
Form 5
obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*\*
Pericleous Periclis

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

EXPRESS, INC. [EXPR]

(Check all applicable)

(Last)

(First) (Middle)

3. Date of Earliest Transaction

\_\_\_\_ Director
\_\_X\_\_ Officer (give title

\_\_\_\_\_ 10% Owner \_\_\_\_\_ Other (specify

C/O EXPRESS, INC.,, 1 EXPRESS

**DRIVE** 

(Month/Day/Year) 07/15/2015

below) below)

SVP, CFO and Treasurer

(Street) 4. If Amendment, Date Original

(Month/Day/Year)

Filed(Month/Day/Year)

Code

(Instr. 8)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

COLUMBUS, OH 43230

(City) (State) (Zip) **Tab**1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities 5. c, if TransactionAcquired (A) or

A. Securities 3. Allo and Acquired (A) or Securities 5. Proposed of (D) Benefic (Instr. 3, 4 and 5) Owned

5. Amount of 6 Securities F Beneficially (1

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership

(Instr. 4)

(A)

Following Reported Transaction(s

Transaction(s)
(Instr. 3 and 4)

Code V Amount (D) Price

Common Stock, par

value \$0.01

(Instr. 3)

07/15/2015

A 9,103

A \$ 0 30,062

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: EXPRESS, INC. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 18.84	07/15/2015		A	8,527	<u>(2)</u>	07/15/2025	Common Stock, par value \$0.01	

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Pericleous Periclis C/O EXPRESS, INC., 1 EXPRESS DRIVE COLUMBUS, OH 43230

SVP, CFO and Treasurer

### **Signatures**

Lacey J. Bundy, Attorney-in-Fact 07/16/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent restricted stock units that are to be settled in common stock upon vesting. One-quarter of the restricted stock units vest on each of the following dates: July 15, 2016, July 15, 2017, July 15, 2018, and July 15, 2019.
- (2) One-quarter of the stock options vest on each of the following dates: July 15, 2016, July 15, 2017, July 15, 2018, and July 15, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2