### Edgar Filing: Moody Ross R - Form 4

| Moody Ross<br>Form 4  | s R   |  |          |   |            |                              |  |  |   |   |  |  |
|---|---|--|----------|---|------------|------------------------------|--|--|---|---|--|--|
| February 20.  | , 2019  |  |          |   |            |                              |  |  |   |   |  |  |
|   | ЛЛ  |  |          |   |            |                              |  |  | OMB AF  | PROVAL  |  |  |
|   |   |  |          | RITIES AND EXCHANGE COMMISSION<br>shington, D.C. 20549            |            |                              |  |  | OMB<br>Number:  | 3235-0287   |  |  |
| Check th  |   |  |          |   |            | Expires:                     | January 31   |  |   |   |  |  |
| if no long<br>subject to<br>Section 1<br>Form 4 c           | F CHANGES IN BENEFICIAL OWNERSHIP O<br>SECURITIES |  |          |   |            |                              | Estimated average<br>burden hours per<br>response 0. |  |   |   |  |  |
| Form 5<br>obligatio<br>may con<br><i>See</i> Instr<br>1(b). | tinue. Section 17(                                | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |          |   |            |                              |  |  |   |   |  |  |
| (Print or Type ]  | Responses)  |  |          |   |            |                              |  |  |   |   |  |  |
| Moody Ross R Sy Na  |   |  | Symbol   | : Name <b>and</b><br>1 Westerr                                    |            |                              | -  | 5. Relationship of Reporting Person(s) to<br>Issuer  |   |   |  |  |
|   |   |  | [NWLI]   |   |            |                              |  | (Check all applicable)   |   |   |  |  |
| (M  |   |  | (Month/D | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>02/19/2018 |            |                              |  | X Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>President & CEO   |   |   |  |  |
| Filed(Mo  |   |  |          | nendment, Date Original<br>onth/Day/Year)                         |            |                              |  | <ul> <li>6. Individual or Joint/Group Filing(Check<br/>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |   |   |  |  |
| AUSTIN, T   | X 78759   |  |          |   |            |                              |  | Person   |   | porting   |  |  |
| (City)  | (State)   | (Zip)  | Tabl     | e I - Non-D   | erivative  | Secur                        | rities Acq   | uired, Disposed of,  | or Beneficiall  | y Owned   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                        | 2. Transaction Date<br>(Month/Day/Year)           |  | Date, if | 3.<br>Transactio<br>Code<br>(Instr. 8)<br>Code V                  | (Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)   | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Class A<br>Common<br>Stock                                  | 02/19/2018  |  |          | M   | 2,223      | (D) $A$ $(1)$                | \$ 0   | 6,223  | D   |   |  |  |
| Class A<br>Common<br>Stock                                  | 02/19/2018  |  |          | М   | 2,223      | D<br>(1)                     | \$<br>309.71   | 4,000  | D   |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number<br>action of Derivative<br>Securities<br>8) Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |       | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|---------------------------------------|---|-------|--|--------------------|---|--|
|   |   |   |   | Code V                                | (A)   | (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock<br>Units                        | <u>(2)</u>  | 02/19/2018                              |   | М                                     |   | 2,223 | 02/17/2018   | 02/17/2018         | Class A<br>Common<br>Stock  | 2,223                                  |

### **Reporting Owners**

| Reporting Owner Name / Address                                 | Relationships |           |                 |       |  |  |  |  |
|--|---------------|-----------|-----------------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer         | Other |  |  |  |  |
| Moody Ross R<br>10801 N. MOPAC EXPY BLDG 3<br>AUSTIN, TX 78759 | Х             |           | President & CEO |       |  |  |  |  |
| Signatures   |               |           |                 |       |  |  |  |  |
| Ross R. Moody 02/20/20   | 019           |           |                 |       |  |  |  |  |

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Restricted Stock Units vested in full on February 17, 2019, and without any action or discretion by the Reporting Person he received a cash payment equal to the value of the shares on the transaction date.
- (2) Each restricted stock unit represents a contingent right to receive, with no additional consideration payable, the cash value of one share of NWLI Class A common stock on the Date Exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

<u>\*\*</u>Signature of Reporting Person