Edgar Filing: Singh Narinderjeet - Form 4

Perbruary 11, 2019 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. Form 4 or Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OT SECURITIES Check dia box Form 5	Singh Narin Form 4	nderjeet							
Check this box if in longer subject to section 16. Form 4 or Form 5 or Section 16. Form 4 or Form 5 or Section 17(a) of the Public Utility Holding Company Act of 1934, obligations may continue. Seed Instruction 1(b). OMB STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 200 Section 200 Section 16. Form 4 or Form 5 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). OMB Signification Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Symbol GENOCEA BIOSCIENCES, INC. [GNCA] S. Relationship of Reporting Person(s) to Issue 1. Name and Address of Reporting Person 1 (Last) 2. Issuer Name and Ticker or Trading Symbol GENOCEA BIOSCIENCES, INC. [GNCA] S. Relationship of Reporting Person(s) to Issue (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) S. Relationship of Reporting Person(s) to Issue (Clay) (Streel) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X. Form filed by More Reporting Person - Port filed by One Reporting Person - Port filed by One Reporting Person - Port filed by One Reporting Person - Security (Clay) (State) (Zip) Table I - Non-Derivative Securities Acquired, Dispersed of, or Beneficially Owned From 10te by More Reporting Person (Clay) (State) (Zip) TransactionAcquired (A) or Security Socuritites Or Socurities From 10te by More Re		1, 2019							
Check this box if no longer subject to State Normation 16, State Normality of the securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person_ (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) C/O GENOCEA BIOSCIENCES, TH FLOOR (Street) (State) (Check all applicable) (City) (State) (City) (State) (C	FOR	M 4						PPROVAL	
Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section ray continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section ray continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section ray continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section ray continue. Section 17(a) of the Public Utility Holding Company Act of 1940 r(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 2. Issuer Name and Ticker or Trading Singh Narinderjeet Symbol GENOCEA BIOSCIENCES, INC. [GNCA] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) C/O GENOCEA BIOSCIENCES, INC. [GNCA] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) C/O GENOCEA BIOSCIENCES, INC. [Given] (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (Given) (State) (Zip) Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A, Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) (Instr. 3) (Instr. 3) and 4) (A) reported (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Reported (Instr. 3) (Month/Day/Year) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (A) reported (Instr. 3) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (A) reported (Instr. 3) (Instr. 4) (Instr	. 0	•• • UNITED						3235-0287	
obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). 30(h) of the Investment Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 Singh Narinderjeet 2. Issuer Name and Ticker or Trading Symbol GENOCEA BIOSCIENCES, INC. [GNCCA] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	if no lo subject Section	nger to STATEN 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section						
1. Name and Address of Reporting Person 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director	obligati may co <i>See</i> Inst	ntinue. Section 17(
Singh Narinderjeet Symbol GENOCEA BIOSCIENCES, INC. [GNCA] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 02/07/2019 C/O GENOCEA BIOSCIENCES, INC., 100 ACORN PARK DRIVE, 5TH FLOOR (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) 	(Print or Type	e Responses)							
[GNCA] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)			Symbol	l	-	-	of Reporting Per	rson(s) to	
C/O GENOCEA BIOSCIENCES, INC., 100 ACORN PARK DRIVE, STH FLOOR (Month/Day/Year) Lif Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X_ Form filed by One Reporting Person 					, INC.	(Check all applicable)			
Filed(Month/Day/Year) Applicable Line) 	C/O GEN0 INC., 100	OCEA BIOSCIEN ACORN PARK D	(Month ICES, 02/07/	/Day/Year)		X Officer (give below)	ve titleOth below)	er (specify	
CAMBRIDGE, MA 02140	· · ·			-		Applicable Line)			
1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Ode 5. Amount of Securities 6. Ownership Form: Direct 7. Nature of Indirect 0. Month/Day/Year) 8. 4. Securities 5. Amount of Code 6. Ownership Beneficially 7. Nature of Indirect 0. Month/Day/Year) (Month/Day/Year) 1. Securities 5. Amount of Code 9. Owned 1. 0. Month/Day/Year) (Month/Day/Year) 1. Securities 1. Securities 1. Securities 6. Ownership 0. Month/Day/Year) (Month/Day/Year) 1. Securities 1. Securities 1. Securities 1. Securities 1. Securities 0. Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Ownership 6. Ownership Following (Instr. 4) (Instr. 4) (Instr. 4) 0. Or Or Or (Instr. 3 and 4) (Instr. 3 and 4)	CAMBRII	DGE, MA 02140				Form filed by			
Security (Month/Day/Year) Execution Date, if any Code Disposed of (D) Beneficially (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Owned (I) Ownership Following (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) Code V Amount (D) Price (Code V Amount (D) Price (Code V Amount (C)) Pri	(City)	(State)	(Zip) Ta	ble I - Non-Derivative Sec	curities Acq	uired, Disposed	of, or Beneficia	lly Owned	
	Security (Month/Day/Year)		Execution Date, if any	TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or		ecurities Geneficially Dwned following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership	
	Reminder: Re	eport on a separate line	e for each class of se	· · ·	·	ndirectly.			

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 0.54	02/07/2019		A	350,000	<u>(1)</u>	02/07/2029	Common Stock	350,000	
Reporting Owners										
Reporting Owner Name / Address			Relationships							
		Director	10% Owner	r Officer		Other				
Singh Narinderjeet C/O GENOCEA BIOSCIENCES, INC. 100 ACORN PARK DRIVE, 5TH FLOOR CAMBRIDGE, MA 02140				SENIOR VP, PS&M						
Signa	tures									
110 1	3.6.1									

/s/ Derek Meisner, Attorney-in-fact 02/11/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Stock Option will vest as to 1/4th of the shares subject to the option on the first anniversary of the Grant Date and thereafter as to
 (1) 1/36th of the shares on each subsequent monthly anniversary of February 7, 2020 (rounded down to the nearest whole share, except for the final vesting period, with respect to which all remaining shares will vest).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.