Edgar Filing: Harvest Capital Credit Corp - Form SC 13G/A

Harvest Capital Credit Corp Form SC 13G/A February 17, 2016

UNITED STATES	
SECURITIES AND EXCHANGE COMMISSION	
WASHINGTON, D.C. 20549	
	
SCHEDULE 13G/A	
Under the Securities Exchange Act of 1934	
(Amendment No. 1)	
Harvest Capital Credit Corporation	
(Name of Issuer)	
Common Stock, par value \$0.001 per share	
(Title of Class of Securities)	
41753F 109	
(CUSIP Number)	
December 31, 2015	
(Date of Event Which Requires Filing of this Statement)	

Edgar Filing: Harvest Capital Credit Corp - Form SC 13G/A

Check the appropriate box to designate the rule pursuant to which the Schedule is filed:
[] Rule 13d-1(b)
[] Rule 13d-1(c)
[X] Rule 13d-1(d)
* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.
The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 41753F 109 SCHEDULE 13G/A Page 1 of 6

NAMES OF REPORTING PERSONS

Joseph A. Jolson

1.

I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

2. (a) []

(b) []

SEC USE ONLY

3.

CITIZENSHIP OR PLACE OF ORGANIZATION

4.

United States of America

SOLE VOTING POWER

NUMBER OF

5.

SHARES

440,546

BENEFICIALLY 6

6. SHARED VOTING POWER

OWNED BY

EACH	35,768
REPORTING	SOLE DISPOSITIVE POWER
PERSON WITH	7.
	440,546
	8. SHARED DISPOSITIVE POWER
	35,768
	AGGREGATE AMOUNT
	BENEFICIALLY OWNED BY EACH
9.	REPORTING PERSON
	476,314
	CHECK BOX IF THE AGGREGATE
	AMOUNT IN ROW 9 EXCLUDES CERTAIN SHARES*
10.	
	PERCENT OF CLASS REPRESENTED BY AMOUNT IN
11	ROW 9
11.	
	7.6%
	TYPE OF REPORTING PERSON*
12.	
12.	
	IN

SCHEDULE 13G/A Page 2 of 6

CUSIP No. 41753F 109

Item 1(a). Name of Issuer:

Harvest Capital Credit Corporation

Item 1(b). Address of Issuer's Principal Executive Offices:

767 Third Avenue, 25th Floor

New York, NY

Item 2(b). Name of Person Filing:

Joseph A. Jolson

Item

Address of Principal Business Office:

600 Montgomery Street, Suite 1100

San Francisco, CA 94111

Item

2(c). Citizenship:

United States of America

Item 2(d). Title of Class of Securities

Common Stock, par value \$0.001 per share

Item 2(e). CUSIP Number:

41753F 109

Item 3. If this statement is filed pursuant to rule 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

Not Applicable

SCHEDULE 13G/A Page 3 of 6

CUSIP No. 41753F 109

Item 4. Ownership

- a. Amount beneficially owned: 476,314 shares (a)
- b. Percent of class:
- c. Number of shares to which the person has:
 - (i) Sole power to vote or to direct the vote: 440,546 shares (a)
 - (ii) Shared power to vote or to direct the vote: 35,768 shares (a)
 - (iii) Sole power to dispose or to direct the disposition of: 440,546 shares (a)
 - (iv) Shared power to dispose or to direct the disposition of: 35,768 shares (a)

Item 5.Ownership of Five Percent or Less of Class

Not applicable.

Item 6.Ownership of More Than 5 Percent on Behalf of Another Person

Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person

Not applicable.

Item 8. Identification and Classification of Members of the Group

Not applicable.

Item 9. Notice of Dissolution of Group

Not applicable.

Item 10.

¹ Certification

Not applicable.

Notes:

The total number of shares reported includes 410,546 shares owned by the Joseph A. Jolson 1991 Trust, of which Mr. Jolson is the trustee; 35,768 shares owned by The Jolson Family Foundation, of which foundation Mr. Jolson (a) is President and Treasurer and over which shares Mr. Jolson has voting and investment power, but no pecuniary interest; 10,000 shares owned by Mr. Jolson's daughter and over which shares Mr. Jolson has voting and investment power, but no pecuniary interest; and 20,000 shares owned by Mr. Jolson directly.

Edgar Filing: Harvest Capital Credit Corp - Form SC 13G/A

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

DATED: February 16, 2016

By:/s/ Joseph A. Jolson Name: Joseph A. Jolson