AMAZON COM INC

Form 4

August 16, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and AdVALENTIN	*	_	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	AMAZON COM INC [AMZN] 3. Date of Earliest Transaction				
P.O. BOX 81226			(Month/Day/Year) 08/15/2013	Director 10% Owner Senior Vice President			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
SEATTLE, WA 98108-1226			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Ac	anired Disposed of or Reneficially Owner			

						•	CISOII		
(City)	(State)	(Zip) Tal	ble I - Non	-Derivati	ve Sec	urities Acqui	red, Disposed of	, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Oper Dispos (Instr. 3,	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Stock, par value \$.01 per share	08/15/2013		M	5,000	A	\$ 0	59,118	D	
Common Stock, par value \$.01 per share	08/15/2013		S <u>(1)</u>	1,100	D	\$ 285.8709 (2)	58,018	D	
Common Stock, par	08/15/2013		S <u>(1)</u>	1,525	D	\$ 286.8418	56,493	D	

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value \$.01 per share					(3)			
Common Stock, par value \$.01 per share	08/15/2013	S <u>(1)</u>	675	D	\$ 287.841 (4)	55,818	D	
Common Stock, par value \$.01 per share	08/15/2013	S <u>(1)</u>	200	D	\$ 288.67 (5)	55,618	D	
Common Stock, par value \$.01 per share						13,000	I	In trust
Common Stock, par value \$.01 per share						215.78	I	Held by the reporting person's Amazon.com. 401(k) plan account
Reminder: Re	eport on a separate line for each class of sec	curities ber				irectly. d to the collec	tion of	SEC 1474

information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	(A) (D		Date Exercisable	Expiration Date	Title	Amoun or Number of Shares
Restricted Stock Unit	\$ 0 (6)	08/15/2013	M	5,0	000	05/15/2013(7)	02/15/2016	Common Stock, par	5,000

Award

value \$.01 per share

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

VALENTINE H BRIAN P.O. BOX 81226 SEATTLE, WA 98108-1226

Senior Vice President

## **Signatures**

/s/ H. Brian Valentine, Senior Vice President

08/15/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$286.29 and the lowest price at which shares were sold was \$285.34.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$287.35 and the lowest price at which shares were sold was \$286.38.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$288.25 and the lowest price at which shares were sold was \$287.40.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$288.76 and the lowest price at which shares were sold was \$288.58.
- (6) Converts into Common Stock on a one-for-one basis.
- This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 5,000 shares on each of May 15, 2013, August 15, 2013, November 15, 2013 and February 15, 2014 and 2,500 shares on each of May 15, 2014, August 15, 2014, November 15, 2014, February 15, 2015, May 15, 2015, August 15, 2015, November 15, 2015 and February 15, 2016.

#### **Remarks:**

**REMARKS:** 

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer, Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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