AMAZON COM INC

Form 4

August 16, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31,

2005

0.5

Check this box if no longer subject to

Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: Estimated average

**OMB APPROVAL** 

burden hours per response...

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * SZKUTAK THOMAS J			2. Issuer Name and Ticker or Trading Symbol AMAZON COM INC [AMZN]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Chech an approach)			
P.O. BOX 812	226		(Month/Day/Year) 08/15/2013	Director 10% Owner X Officer (give title Other (specify below) Senior Vice President and CFO			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SEATTLE, WA 98108-1226				Form filed by More than One Reporting Person			

						•	CISOII		
(City)	(State)	(Zip) Tal	ble I - Non	-Derivati	ve Sec	curities Acqui	red, Disposed of	, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)		sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Stock, par value \$.01 per share	08/15/2013		M	7,500	A	\$ 0	60,207	D	
Common Stock, par value \$.01 per share	08/15/2013		M	611	A	\$ 0	60,818	D	
Common Stock, par	08/15/2013		S(1)	1,385	D	\$ 286.0232	59,433	D	

### Edgar Filing: AMAZON COM INC - Form 4

value \$.01 per share					(2)			
Common Stock, par value \$.01 per share	08/15/2013	S <u>(1)</u>	1,300	D	\$ 287.0077 (3)	58,133	D	
Common Stock, par value \$.01 per share	08/15/2013	S <u>(1)</u>	619	D	\$ 287.9929 (4)	57,514	D	
Common Stock, par value \$.01 per share	08/15/2013	S(1)	100	D	\$ 288.76	57,414	D	
Common Stock, par value \$.01 per share						471.518	I	Held by the reporting person's Amazon.com 401(k) account
Reminder: R	eport on a separate line for each class of se	curities be	neficially	owned	l directly or inc	lirectly.		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Stock Unit

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted	\$ 0 (5)	08/15/2013		M	7,500	05/15/2011(6)	02/15/2014	Common	7,500

Stock, par

#### Edgar Filing: AMAZON COM INC - Form 4

Award value \$.01 per share Common Restricted Stock, par Stock Unit  $$0^{(5)}$ 08/15/2013 M 611 05/15/2013<sup>(7)</sup> 02/15/2018 value Award \$.01 per share

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SZKUTAK THOMAS J P.O. BOX 81226 SEATTLE, WA 98108-1226

Senior Vice President and CFO

611

## **Signatures**

/s/ THOMAS J. SZKUTAK, Senior Vice President and CFO

08/16/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$286.54 and the lowest price at which shares were sold was \$285.55.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$287.50 and the lowest price at which shares were sold was \$286.55.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$288.57 and the lowest price at which shares were sold was \$287.60.
- (5) Converts into Common Stock on a one-for-one basis.
- This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 10,000 shares on each of May 15, 2011, August 15, 2011, November 15, 2011 and February 15, 2012 and 7,500 shares on each of May 15, 2012, August 15, 2012, November 15, 2012, February 15, 2013, May 15, 2013, August 15, 2013, November 15, 2013 and February 15, 2014.

This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 611 shares on each of May 15, 2013,

(7) August 15, 2013 and November 15, 2013, 610 shares on February 15, 2014, 1,303 shares on each of May 15, 2014, August 15, 2014, November 15, 2014 and February 15, 2015, 383 shares on each of May 15, 2015, August 15, 2015, November 15, 2015 and February 15, 2016, 4,788 shares on May 15, 2016, 4,787 shares on each of August 15, 2016, November 15, 2016 and February 15, 2017, 3,689 shares on May 15, 2017 and 3,688 shares on each of August 15, 2017, November 15, 2017 and February 15, 2018.

#### **Remarks:**

**REMARKS:** 

Reporting Owners 3

#### Edgar Filing: AMAZON COM INC - Form 4

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer, Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.