Jackson Jeffrey T Form 4/A May 18, 2018

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C., 20549

OMB Number: 3235-0287

Washington, D.C. 20549

Expires: January 31, 2005

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

truction 30(II) of the Investment Col

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person 2  Jackson Jeffrey T	2. Issuer Name and Ticker or Trading Symbol PGT Innovations, Inc. [PGTI]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First) (Middle)	3. Date of Earliest Transaction	()		
PGT INNOVATIONS, INC>, 1070 TECHNOLOGY DRIVE	(Month/Day/Year) 05/11/2018	_X_ Director 10% Owner _X_ Officer (give title Other (specify below)  President and CEO		
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year) 05/15/2018	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
NORTH VENICE, FL 34275		Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
PGT Common Stock	05/11/2018		M	51,122 (1)	A	\$ 2	174,835	D	
PGTI Common Stock	05/11/2018		S	23,407	D	\$ 18.85 (2)	151,428	D	
PGTI Common Stock	05/14/2018		M	51,511 (1)	A	\$ 2	202,939	D	
PGT Common	05/14/2018		S	23,600	D	\$ 18.76	179,339	D	

Stock (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day	ate	7. Title and L Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 2	05/11/2018		M	51,122	<u>(4)</u>	04/06/2020	Common Stock	237,758
Stock Option (Right to Buy)	\$ 2	05/14/2018		M	51,511	<u>(4)</u>	04/06/2020	Common Stock	51,511

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
Jackson Jeffrey T						
PGT INNOVATIONS, INC>	X		Dragidant and CEO			
1070 TECHNOLOGY DRIVE	Λ	President and CEO				
NORTH VENICE, FL 34275						

## **Signatures**

/s/ Jeffrey T. Jackson	05/18/201		
**Signature of Reporting Person	Date		

Reporting Owners 2

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was executed pursuant to a letter if instruction from the reporting person whereby reporting person elected to exercise options granted on April 6, 2010.
- This transaction was executed in multiple trades ranging from \$18.80 to \$18.95 per share. The price reported above represents the weighted-average sales price. The reporting person hereby undertakes to provide full information regarding the numbers of shares and prices at which the transactions were affected upon request by the SEC staff, the issuer, or a security holder of the issuer.
- This transaction was executed in multiple trades ranging from \$18.65 to \$18.83 per share. The price reported above represents the weighted-average sales price. The reporting person hereby undertakes to provide full information regarding the numbers of shares and prices at which the transactions were affected upon request by the SEC staff, the issuer, or a security holder of the issuer.
- (4) An aggregate of 732,626 options exercisable in five approximately equal annual installments beginning on April 6, 2011.

#### **Remarks:**

This Form 4/A is being filed to amend the Form 4 filed on May 15, 2018 to (1) change certain transaction codes to reflect thes. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.