**Duke Energy CORP** Form 4 May 11, 2007

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* HAUSER DAVID L

(First)

(State)

05/10/2007

526 S. CHURCH STREET

CHARLOTTE, NC 28202

(Street)

(Middle)

(Zip)

05/10/2007

Filed(Month/Day/Year)

2. Issuer Name and Ticker or Trading Symbol

Duke Energy CORP [DUK]

3. Date of Earliest Transaction (Month/Day/Year)

05/10/2007

4. If Amendment, Date Original

**OMB** 

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

Grp Exec & CFO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

\$ 7.85 143,675

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1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transactio	4. Securit	sposed	of (D)	5. Amount of Securities Beneficially	6. Ownership Form:	7. Nature of Indirect Beneficial
(msu. 3)		(Month/Day/Year)	(Instr. 8)				Owned Direct (D) Following or Indirect		Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	05/10/2007	05/10/2007	M	18,600	A	\$ 15.74	58,675	D	
Common Stock	05/10/2007	05/10/2007	M	31,800	A	\$ 16.9	90,475	D	
Common Stock	05/10/2007	05/10/2007	M	37,800	A	\$ 14.17	128,275	D	
Common Stock	05/10/2007	05/10/2007	M	5,400	A	\$ 9.74	133,675	D	

M

10,000 A

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Common Stock	05/10/2007	05/10/2007	S	76,193	D	\$ 20.3	67,482	D	
Common Stock	05/10/2007	05/10/2007	S	9,807	D	\$ 20.31	57,675	D	
Common Stock	05/10/2007	05/10/2007	S	3,200	D	\$ 20.32	54,475	D	
Common Stock	05/10/2007	05/10/2007	S	2,100	D	\$ 20.33	52,375	D	
Common Stock	05/10/2007	05/10/2007	S	2,700	D	\$ 20.34	49,675	D	
Common Stock	05/10/2007	05/10/2007	S	7,100	D	\$ 20.35	42,575	D	
Common Stock	05/10/2007	05/10/2007	S	800	D	\$ 20.36	41,775	D	
Common Stock	05/10/2007	05/10/2007	S	700	D	\$ 20.37	41,075	D	
Common Stock	05/10/2007	05/10/2007	S	1,000	D	\$ 20.38	40,075	D	
Common Stock							32,706	I	By Retirement Savings Plan
Common Stock							192	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Nun	nber of	6. Date Exerc	isable and	7. Title and	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date		Underlying Securities		
Security	or Exercise		any	Code	Securit	ties	(Month/Day/Y	Year)	(Instr. 3 and	14)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquir	red (A)				
	Derivative				or Dist	posed of				
	Security				(D)					
	•				(Instr.	3, 4,				
					and 5)					
										Amount
							Date	Expiration	Title	or
							Exercisable	Exercisable Date		Number
				Code V	7 (A)	(D)				of Shares

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Stock Option (Right to Buy)	\$ 14.17	05/10/2007	05/10/2007	M	37,800	12/20/2003	12/20/2009	Common Stock	37,800
Stock Option (Right to Buy)	\$ 9.74	05/10/2007	05/10/2007	M	5,400	01/28/2003	01/28/2013	Common Stock	5,400
Stock Option (Right to Buy)	\$ 7.85	05/10/2007	05/10/2007	M	10,000	<u>(1)</u>	02/25/2013	Common Stock	10,000
Stock Option (Right to Buy)	\$ 15.74	05/10/2007	05/10/2007	M	18,600	02/17/2002	02/17/2008	Common Stock	18,600
Stock Option (Right to Buy)	\$ 16.9	05/10/2007	05/10/2007	M	31,800	02/17/2003	02/17/2009	Common Stock	31,800

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HAUSER DAVID L							
526 S. CHURCH STREET			Grp Exec & CFO				
CHADI OTTE NC 28202			_				

## **Signatures**

By: David S. Maltz, Attorney-in-fact for 05/11/2007

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vested in four annual, equal installments beginning on February 25, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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