### Edgar Filing: MAPLEBY HOLDINGS MERGER Corp - Form 4

### MAPLEBY HOLDINGS MERGER Corp

Form 4

Common

11/05/2013

November 07, 2013

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<b>FORM</b>	4 INTER STATE	C CECUDITIES AN	ID EVCIIA	NCE C	OMMISSION		PPROVAL	
Washington, D.C. 20549				NGE C	OMMISSION	OMB Number:	3235-0287	
Check this if no longer subject to Section 16.		Expires: Estimated a burden hour						
Form 4 or Form 5 obligations may continu See Instruct 1(b).	Section 17(a) of the	Section 16(a) of the Public Utility Holdin of the Investment C	ng Company	y Act of	1935 or Section	response	0.5	
(Print or Type Res	sponses)							
1. Name and Add Hartley Larry	lress of Reporting Person *	2. Issuer Name and T Symbol MAPLEBY HOLD		5	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) C/O OFFICE	(First) (Middle)	Corp [OMX] 3. Date of Earliest Transaction (Month/Day/Year) 11/05/2013			DirectorX Officer (give below)			
	TED, 263 SHUMAN	11,00,201			SVP,	Supply Chain		
NAPERVILL	4. If Amendment, Date Filed(Month/Day/Year)	Original		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State) (Zip)	Table I - Non-Dei	rivative Secur	rities Acqu	uired, Disposed of	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	any	ution Date, if Transactic Code (th/Day/Year) (Instr. 8)		osed of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Series D Convertible Preferred Stock	11/05/2013	Code V <u>J(3)</u>	Amount ( 1,371 Γ	D) Price (3)	0	I	By ESOP Trust	
Common Stock	11/05/2013	J <u>(3)</u>	4,118 A	A (3)	4,118	I	By ESOP Trust	
Common Stock	11/05/2013	D	4,118 D	) <u>(4)</u>	0	I	By ESOP Trust	

50,362 D (2)

0

D

D

(1) Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 12.82	11/05/2013		D	13,357	(5)	02/11/2017	Common Stock	13,357
Employee Stock Option (Right to Buy)	\$ 14.89	11/05/2013		D	10,373	<u>(6)</u>	02/09/2018	Common Stock	10,373
Employee Stock Option (Right to Buy)	\$ 4.92	11/05/2013		D	11,869	<u>(7)</u>	02/16/2019	Common Stock	11,869

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>FB</b>	Director	10% Owner	Officer	Other		
Hartley Larry C/O OFFICEMAX INCORPORATED 263 SHUMAN BLVD. NAPERVILLE, IL 60563			SVP, Supply Chain			

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## **Signatures**

/s/ Susan Wagner-Fleming, by POA from Larry Hartley

11/07/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired through a dividend reinvestment plan that were not previously reported.

Disposed of pursuant to a merger agreement between OfficeMax Incorporated (the "Company"), Office Depot, Inc. ("ODP") and their respective subsidiaries, in exchange for 71,033 shares of ODP common stock and 64,442 ODP restricted stock units, on the effective

- date of the merger (such merger, the "Merger") (in accordance with the exchange ratio set forth in the merger agreement pursuant to which each share of the Company's common stock was exchanged for 2.69 shares of ODP common stock). The closing price of ODP common stock on November 5, 2013 (the effective date of the Merger) was \$5.65 per share.
  - Pursuant to the Certificate of Designation of Convertible Preferred Stock, Series D, each share of OfficeMax Series D Preferred Stock was redeemed on November 5, 2013, following the redemption date of November 4, 2013, for a redemption price of \$45.00 per share, plus all accrued and unpaid dividends thereon. The redemption price was paid in shares of OfficeMax common stock, which were valued
- (3) plus all accrued and unpaid dividends thereon. The redemption price was paid in shares of OfficeMax common stock, which were valued for such purposes at their Fair Market Value of as of the redemption date (as defined in the Certificate of Designation), or \$15.415 per share. The reporting person received 4,118 shares of OfficeMax common stock in connection with the redemption of his OfficeMax Series D Preferred Stock.
- Disposed of pursuant to a merger agreement between the Company, ODP and their respective subsidiaries, in exchange for 11,078 shares of ODP common stock, on the effective date of the Merger (in accordance with the exchange ratio set forth in the merger agreement pursuant to which each share of the Company's common stock was exchanged for 2.69 shares of ODP common stock). The closing price of ODP common stock on November 5, 2013 (the effective date of the Merger) was \$5.65 per share.
- This stock option, which provided for vesting in three equal annual installments, on each of the first three anniversaries of its 2/11/2010 grant date, was assumed by ODP in connection with the Merger and replaced with an option to purchase 35,930 shares of ODP common stock for \$4.77 per share.
- This stock option, which provided for vesting in three equal annual installments, on each of the first three anniversaries of its 2/9/2011 grant date, was assumed by ODP in connection with the Merger and replaced with an option to purchase 27,903 shares of ODP common stock for \$5.54 per share.
- This stock option, which provided for vesting in three equal annual installments, on each of the first three anniversaries of its 2/16/2012 grant date, was assumed by ODP in connection with the Merger and replaced with an option to purchase 31,927 shares of ODP common stock for \$1.83 per share.

#### **Remarks:**

In connection with the Merger (defined in the footnotes above), Mapleby Holdings Merger Corporation has become the success

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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