

TARASI ROCCO F III

Form 3

April 03, 2019

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â TARASI ROCCO F III

(Last) (First) (Middle)

C/O CNO FINANCIAL GROUP,
INC.,Â 11825 NORTH
PENNSYLVANIA STREET

(Street)

CARMEL,Â INÂ 46032

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/01/2019

3. Issuer Name **and** Ticker or Trading Symbol
CNO Financial Group, Inc. [CNO]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

Chief Marketing Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

16,512 ⁽¹⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Options	Â <u>(2)</u>	02/23/2027	Common Stock	5,750	\$ 21.06	D	Â
Stock Options	Â <u>(3)</u>	02/21/2028	Common Stock	4,710	\$ 23.33	D	Â
Stock Options	Â <u>(4)</u>	02/19/2029	Common Stock	5,490	\$ 17.48	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TARASI ROCCO F III C/O CNO FINANCIAL GROUP, INC. 11825 NORTH PENNSYLVANIA STREET CARMEL, IN 46032	Â	Â	Â Chief Marketing Officer	Â

Signatures

Karl W. Kindig,
Attorney-in-Fact

04/03/2019

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 14,747 restricted stock units, which convert into common stock on a one-for-one basis and vest in installments through 2022.

(2) One-half of these options vested on February 23, 2019 and one-half will vest on February 23, 2022.

(3) One-half of these options vest on February 21, 2020 and one-half vest on February 21, 2021.

(4) One-half of these options vest on February 19, 2021 and one-half vest on February 19, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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