ROCKWELL AUTOMATION INC

Form 4

February 20, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

3235-0287 Number: January 31,

Expires: 2005 Estimated average

burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

1. Name and Address of Reporting Person *

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Stock

Stock

Stock

Stock

Common

Common

Common

02/19/2015

02/19/2015

02/19/2015

(Print or Type Responses)

See Instruction

| Kulaszewicz Frank C | | | Symbol ROCK [ROK | WELL AUTOMATION INC | (Check all applicable) | | | |
|--|--------------------------------------|---|------------------------|--|---|--|--|--|
| | (Last) | (First) (| (Month | of Earliest Transaction /Day/Year) /2015 | Director 10% Owner Officer (give title below) | | | |
| | | (Street) | | nendment, Date Original (onth/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| MILWAUKEE, WI 53204 (City) (State) (Zip) | | | (Zip) Ta | bla I. Non Donivativa Committies Associati | Form filed by More than One Reporting Person | | | |
| | 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 14 | 3. 4. Securities Acquired (A Transactiom Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price | puired, Disposed of, or Beneficially Owned 5. Amount of 6. 7. Nature of Ownership Indirect Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4) | | | |
| | Common | | | | By 243.1069 I Savings | | | |

3,200

2,434

5,634

(2)

A

A

D

\$ 69.57

118.0292

\$97

26,223

28,657

23,023

D

D

 $D^{(3)}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

M

S

Plan (1)

Edgar Filing: ROCKWELL AUTOMATION INC - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | Fransaction Derivative Code Securities | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|--|-------|--|--------------------|---|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (Right to Buy) | \$ 69.57 | 02/19/2015 | | M | | 3,200 | 12/07/2011 | 12/07/2020 | Common Stock | 3,200 |
| Employee Stock Option (right to buy) | \$ 97 | 02/19/2015 | | M | | 2,434 | 04/01/2012 | 04/01/2021 | Common Stock | 2,434 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Kulaszewicz Frank C 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204 | | | SVP | | | |

Signatures

Karen A. Balistreri, Attorney-in-Fact for Frank C.
Kulaszewicz
02/20/2015

**Signature of Reporting Person Date

Reporting Owners 2

Edgar Filing: ROCKWELL AUTOMATION INC - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares represented by Company stock fund units acquired under the Company Savings Plan based on information furnished by the Plan Administrator as of 12/31/2014.
- Price reported in column 4 is a weighted average price. Shares sold at prices ranging from \$118.0210 to \$118.0410. The reporting person undertakes to provide to the Company, any shareowners of the Company and the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price.
- (3) Includes 4,510 shares held by the Company to implement restrictions on transfer unless and until certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.