

ROCKWELL AUTOMATION INC
Form 4
February 04, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
COPPINS KENT G

2. Issuer Name and Ticker or Trading Symbol
ROCKWELL AUTOMATION INC
[ROK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1201 SOUTH SECOND STREET

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
02/01/2013

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)
VP and General Tax Counsel

MILWAUKEE, WI 53204

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Common Stock					6,158.0261	I	By Savings Plan <u>(1)</u>
Common Stock	02/01/2013		M	1,750 A \$ 56.36	8,445	D	
Common Stock	02/01/2013		M	12,100 A \$ 29.37	20,545	D	
Common Stock	02/01/2013		S	6,400 <u>(2)</u> D \$ 90.0285	14,145	D	
Common Stock	02/01/2013		S	7,450 <u>(3)</u> D \$ 90.4315	6,695	D <u>(4)</u>	

Edgar Filing: ROCKWELL AUTOMATION INC - Form 4

- (1) Shares represented by Company stock fund units acquired under the Company Savings Plan, since the date of the last ownership report for this person, based on information furnished by the Plan Administrator as of 12/31/2012.

Price reported in column 4 is a weighted average price. Shares sold at prices ranging from \$89.285 to \$90.28. The reporting person undertakes to provide to the Company, any shareowners of the Company and the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price.

- (2) Price reported in column 4 is a weighted average price. Shares sold at prices ranging from \$90.295 to \$90.66. The reporting person undertakes to provide to the Company, any shareowners of the Company and the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price.

- (3) 1,050 shares are held by Company to implement restrictions on transfer unless and until certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.