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ROCKWELL AUTOMATION INC Form 4 February 07, 2007 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading HALL MARY JANE Issuer Symbol ROCKWELL AUTOMATION INC (Check all applicable) [ROK] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 1201 SOUTH SECOND STREET 02/05/2007 Sr. VP, HR (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting MILWAUKEE, WI 53204 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial Owned (Month/Day/Year) (Instr. 8) (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price By Common I 1,880.26 Savings Stock Plan (1) Common Μ 12.134 40,669 D 02/05/2007 A 27 75 Stock Common 02/05/2007 Μ 2,979 Α 43,648 D 27 75 Stock Common 02/05/2007 S 1.200 D D 42.448 62.06 Stock Common 02/05/2007 S 2,400 D 40.048 D 62.05 Stock

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Common Stock	02/05/2007	S	500	D	\$ 62.03	39,548	D
Common Stock	02/05/2007	S	1,200	D	\$ 62.02	38,348	D
Common Stock	02/05/2007	S	2,900	D	\$ 62.01	35,448	D
Common Stock	02/05/2007	S	1,100	D	\$ 61.99	34,348	D
Common Stock	02/05/2007	S	1,300	D	\$ 61.98	33,048	D
Common Stock	02/05/2007	S	600	D	\$ 61.96	32,448	D
Common Stock	02/05/2007	S	934	D	\$ 61.95	31,514	D
Common Stock	02/05/2007	S	2,400	D	\$ 61.9	29,114	D
Common Stock	02/05/2007	S	600	D	\$ 61.93	28,514	D
Common Stock	02/05/2007	S	300	D	\$ 61.95	28,214	D
Common Stock	02/05/2007	S	400	D	\$ 61.94	27,814	D
Common Stock	02/05/2007	S	300	D	\$ 61.92	27,514	D
Common Stock	02/05/2007	S	2,200 (2)	D	\$ 61.91	25,314	D
Common Stock	02/05/2007	F <u>(3)</u>	1,333	D	\$ 61.97	23,981	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transacti	5. Number of orDerivative	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities
Security	or Exercise	•	any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		
	Derivative				or Disposed of		
	Security				(D)		
					(Instr. 3, 4,		

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					and 5)					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 27.75	02/05/2007	М			12,134	10/06/2004	10/06/2013	Common Stock	12,134
Employee Stock Option (Right to Buy)	\$ 27.75	02/05/2007	М			2,979	10/06/2004	10/06/2013	Common Stock	2,979

Reporting Owners

Reporting Owner Name / Address	Relationships							
FB	Director	10% Owner	Officer	Other				
HALL MARY JANE 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204			Sr. VP, HR					
Signatures								
Karen A. Balistreri, Attorney-in-Fa Jane Hall	ry	02/07/2007						
<u>**</u> Signature of Reporting Perso	on		Date					

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes shares represented by Company stock fund units acquired under the Company Savings Plan since the date of the last ownership report for this person, based on information furnished by the Plan Administrator as of 12/31/2006.
- (2) 1,400 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met.
- (3) Payment of option exercise price by delivery of already-owned shares of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.