Edgar Filing: SCHWARZ THOMAS R - Form 4

| Form 4 | THOMAS R | | | | | | | | | | |
|---|---|----------------|--|--|------------------|--|--|--|---|--|--|
| June 07, 201 | | | | | | | | | | | |
| FORM | 14 UNITED | STATES | SECU | RITIES A | ND EX | CHANGE | | | PPROVAL | | |
| | | 0111110 | Wa | Number: | 3235-0287 | | | | | | |
| Check th if no long subject to Section 1 Form 4 o Form 5 | 6. Filed pur | | | SECUR | RITIES | | WNERSHIP OF | Estimated a burden hou response | irs per | | |
| obligation may cont <i>See</i> Instru 1(b). | inue. Section 17(| | | • | • | npany Act 19 Act of 1 | of 1935 or Secti 940 | on | | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> SCHWARZ THOMAS R | | | 2. Issuer Name and Ticker or Trading Symbol TRANSACT TECHNOLOGIES | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| | | | INC [T | 'ACT] | | | (rr-rr, | | | | |
| (Last) 60 WESTCI | | Middle) | | of Earliest Tr Day/Year) 2011 | ransaction | | X_ Director Officer (giv below) | | 6 Owner er (specify | | |
| WESTON, 1 | (Street) MA 02193 | | | endment, Da onth/Day/Yea | - | ıl | | - | erson | | |
| | | (7: | | | | | Person | | | | |
| (City) | (State) | (Zip) | Tab | ole I - Non-I | Derivative | Securities A | cquired, Disposed | of, or Beneficia | lly Owned | | |
| | 2. Transaction Date (Month/Day/Year) | Execution any | Date, if | 3. Transactio Code (Instr. 8) | Disposed | (A) or of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code V | Amount | (D) Price | (Instr. 3 and 4) | | | | |
| Reminder: Rep | ort on a separate line | e for each cla | ass of sec | urities benef | ficially ow | ned directly o | or indirectly. | | | | |
| | | | | | inforn requii | nation cont red to respo ays a curre | pond to the colle ained in this forn ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | | |
| | | | | | | | | | | | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onof Derivative | Expiration Date | Underlying Securities |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |

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| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. | 8) | Acquired (A) or Disposed (D) (Instr. 3, and 5) | d of | | | | |
|-----------------|------------------------------------|------------|------------------|---------|----|---|------|-----------------------|--------------------|-----------------|--|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option | \$ 11.71 | 05/27/2011 | | А | | 7,500 | | 05/27/2012 <u>(1)</u> | 05/27/2021 | Common Stock | 7,500 |

Reporting Owners

| Reporting Owner Name / Address | | Relationsh | | | |
|---|----------|------------|---------|-------|--|
| | Director | 10% Owner | Officer | Other | |
| SCHWARZ THOMAS R 60 WESTCLIFF ROAD WESTON, MA 02193 | Х | | | | |
| Signatures | | | | | |
| Steven A. DeMartino, Attorney-In-Fact | | 06/07/2 | 011 | | |
| <u>**</u> Signature of Reporting Person | | Date | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of non-qualified stock option under the Company's 2005 Equity Incentive Plan. The option becomes exercisable 25% per year on each anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.