Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

REGENERON PHARMACEUTICALS INC Form 4 December 17, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading McCorkle Douglas S Issuer Symbol REGENERON (Check all applicable) PHARMACEUTICALS INC [REGN] Director 10% Owner Other (specify X_Officer (give title (Last) (First) (Middle) 3. Date of Earliest Transaction below) below) (Month/Day/Year) VP Controller and Asst Treasur 777 OLD SAW MILL RIVER 12/15/2014 ROAD (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting TARRYTOWN, NY 10591 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of 6. Transaction(A) or Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial anv (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Indirect (I) (Instr. 4) Following (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V (D) Price Amount Common 12/15/2014 $M^{(1)}$ 100\$ 30.63 4,781 D A Stock Common 12/15/2014 S⁽¹⁾ 100 D D 4,681 417.34 Stock Common $M^{(1)}$ 100 \$ 30.63 4,781 D 12/15/2014 А Stock Common 12/15/2014 S⁽¹⁾ 100 D D 4,681 412.05 Stock **M**⁽¹⁾ 12/15/2014 100 \$ 30.63 4,781 D Α

Common Stock								
Common Stock	12/15/2014	S <u>(1)</u>	100	D	\$ 411.06	4,681	D	
Common Stock	12/15/2014	M <u>(1)</u>	1,368	А	\$ 30.63	6,049	D	
Common Stock	12/15/2014	S <u>(1)</u>	1,368	D	\$ 410.16 (2)	4,681	D	
Common Stock	12/16/2014	M <u>(1)</u>	943	А	\$ 30.63	5,624	D	
Common Stock	12/16/2014	S	943	D	\$ 410	4,681	D	
Common Stock	12/16/2014	M <u>(1)</u>	5,875	А	\$ 52.03	10,556	D	
Common Stock	12/16/2014	S <u>(1)</u>	5,875	D	\$ 410	4,681	D	
Common Stock						4,098	Ι	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	 4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 		Expiration D (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 30.63	12/15/2014		M <u>(1)</u>	100	(3)	12/14/2020	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 30.63	12/15/2014		M <u>(1)</u>	100	(3)	12/14/2020	Common Stock
	\$ 30.63	12/15/2014		M <u>(1)</u>	100	(3)	12/14/2020	

Non-Qualified Stock Option (right to buy)							Common Stock
Non-Qualified Stock Option (right to buy)	\$ 30.63	12/15/2014	M <u>(1)</u>	1,368	(3)	12/14/2020	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 30.63	12/16/2014	M <u>(1)</u>	943	(3)	12/14/2020	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 52.03	12/16/2014	M <u>(1)</u>	5,875	<u>(3)</u>	12/16/2021	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 399.66	12/16/2014	А	17,000	(4)	12/16/2024	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
McCorkle Douglas S 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591			VP Controller and Asst Treasur				
Signatures							
/s/**Douglas S							

/s/**Douglas S. 12/17/2014 McCorkle

**Signature of Reporting Date Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition/acquisition made pursuant to a plan intended to comply with Rule 10b5-1(c).
- Represents volume-weighted average price of sales of 1,368 shares of Company stock on December 15, 2014 at prices ranging from
 \$410.00 \$410.69. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on December 15, 2014 at each separate price.
- (3) The stock option award (combined incentive stock option and non-qualified stock option) vests in four equal annual installments, commencing one year after the date of grant.
- (4) The stock option award vests in four equal annual installments, commencing one year after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.