

QUALCOMM INC/DE

Form 4

April 07, 2017

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Rosenberg Donald J

(Last) (First) (Middle)

5775 MOREHOUSE DR.

(Street)

SAN DIEGO, CA 92121-1714

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

QUALCOMM INC/DE [QCOM]

3. Date of Earliest Transaction  
(Month/Day/Year)

03/21/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

EVP, GC &amp; Corporate Secretary

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/21/2017		J <sup>(1)</sup>	(A) or (D) 3,043 (2) D \$ 0	6,561	I	by GRAT S <sup>(3)</sup>
Common Stock	03/28/2017		J <sup>(1)</sup>	(A) or (D) 2,988 (2) D \$ 0	3,573	I	by GRAT S <sup>(3)</sup>
Common Stock	03/29/2017		G <sup>(1)</sup>	V 7,308 A \$ 0	10,881 <sup>(4)</sup>	I	by GRAT S <sup>(3)</sup>
Common Stock	03/21/2017		J <sup>(1)</sup>	(A) or (D) 3,043 (2) A \$ 0	8,641	I	by Trust <sup>(5)</sup>
Common Stock	03/28/2017		J <sup>(1)</sup>	(A) or (D) 2,988 (2) A \$ 0	14,617	I	by Trust <sup>(5)</sup>

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Common Stock	03/29/2017	G <sup>(1)</sup>	V	6,031	D	\$ 0	1,278	I	by Trust <sup>(5)</sup>
Common Stock	03/29/2017	G <sup>(1)</sup>	V	1,277	D	\$ 0	1	I	by Trust <sup>(5)</sup>
Common Stock							10,881	I	By GRAT

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

Rosenberg Donald J  
5775 MOREHOUSE DR.  
SAN DIEGO, CA 92121-1714

EVP, GC & Corporate Secretary

## Signatures

By: Noreen E. Burns, Attorney-in-Fact For: Donald J.  
Rosenberg

04/07/2017

                    \*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The transaction was conducted under a Rule 10b5-1 trading plan.
- (2) Represents annuity payments from The Stacy K. Rosenberg Qualcomm Annuity Trust
- (3) Securities held by Mark Lindsay, Trustee of the Stacy K. Rosenberg Qualcomm Annuity Trust.
- (4) Includes 202 shares acquired by reporting person under the Company's Employee Stock Purchase Plan on January 31, 2017.
- (5) Securities held by Donald J. Rosenberg and Stacy K. Rosenberg, Trustees of the Rosenberg Family Trust dated October 18, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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