

QUALCOMM INC/DE

Form 3

October 20, 2016

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Â ROGERS ALEXANDER H

(Last)

(First)

(Middle)

5775 MOREHOUSE DR.

(Street)

SAN

DIEGO,Â CAÂ 92121-1714

(City)

(State)

(Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

10/10/2016

3. Issuer Name **and** Ticker or Trading Symbol
QUALCOMM INC/DE [QCOM]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

EVP & President, QTL

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

655

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date
Exercisable

Expiration Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of
Indirect Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Phantom Stock Unit (1)	Â (2)	Â (3)	Common Stock	1,567	\$ 0	I	by Grantor Trust (1)
Restricted Stock Unit	Â (4)	11/20/2016(4)	Common Stock	2,150.892	\$ (5)	D	Â
Restricted Stock Unit	Â (6)	05/20/2017(6)	Common Stock	1,728.353	\$ (5)	D	Â
Restricted Stock Unit	Â (7)	11/20/2017(7)	Common Stock	4,028.0624	\$ (5)	D	Â
Restricted Stock Unit	Â (8)	05/20/2018(8)	Common Stock	4,932.0604	\$ (5)	D	Â
Restricted Stock Unit	Â (9)	11/20/2018(9)	Common Stock	16,034.1684	\$ (5)	D	Â
Restricted Stock Unit	Â (10)	11/20/2019(10)	Common Stock	25,955.3356	\$ (5)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROGERS ALEXANDER H 5775 MOREHOUSE DR. SAN DIEGO, CA 92121-1714	Â	Â	Â EVP & President, QTL	Â

Signatures

By: Noreen E. Burns, Attorney-in-Fact For: Alexander H. Rogers 10/20/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The common stock issued under the terms of the Company's Non-Qualified Deferred Compensation Plan, a tax conditioned plan, is exempt under Rule 16b-3. The shares are held in a grantor trust and stock is the only permissible form of distribution under the Plan.
- (2) The rights awarded under the Company's Non-Qualified Deferred Compensation Plan will be eligible for distribution upon termination and vest 100% after 2 years of continuous service with the Company.
- (3) The rights awarded under the Company's Non-Qualified Deferred Compensation Plan will be eligible for distribution upon termination.
- (4) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 20, 2014, 2015 and 2016.
- (5) Each Restricted Stock Unit is the economic equivalent of one share of Qualcomm common stock and is converted into common stock upon vesting.
- (6) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on May 20, 2015, 2016 and 2017.
- (7) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 20, 2015, 2016 and 2017.
- (8) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on May 20, 2016, 2017 and 2018.

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- (9) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 20, 2016, 2017 and 2018.
- (10) The Restricted Stock Units (and allocable dividend equivalents) vest in four equal amounts on November 20, 2016, 2017, 2018 and 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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