Edgar Filing: Otterbein Thomas J - Form 4

| Form 4 | | | | | | | | | | | | |
|--|------------|----------|--|---|-------------|------------------|---|--|---|---|--|--|
| October 15, 24 | Л | | | | | | | | OMB AP | PROVAL | | |
| | UNITE | D STATES | | ITIES A hington, | | | NGE COI | MMISSION | OMB Number: | 3235-0287 | | |
| Check this if no longe subject to Section 16 Form 4 or | stat | EMENT O | OF CHANGES IN BENEFICIAL OWNERSHIP SECURITIES | | | | | RSHIP OF | Expires: January 3 200 Estimated average burden hours per response 0. | | | |
| Form 5 obligations may contin <i>See</i> Instruct 1(b). | Section | | | lity Hold | ling Com | ipany | Act of 19 | ct of 1934, 935 or Section | | | | |
| (Print or Type Re | esponses) | | | | | | | | | | | |
| Otterbein Thomas J Symbol | | | Symbol | In Internet of Trading | | | | 5. Relationship of Reporting Person(s) to ssuer (Check all applicable) | | | | |
| [PE | | | | PDI] | | | | | | | | |
| (Month/D | | | 3. Date of (Month/Da 10/11/20 | - De | | | Director 10% Owner Officer (give titleX Other (specify below) See Remarks | | | | | |
| | | | th/Day/Year) Ap | | | | Individual or Joint/Group Filing(Check pplicable Line) K_ Form filed by One Reporting Person _ Form filed by More than One Reporting | | | | | |
| NEWPORT I | BEACH, CA | 92660 | | | | | Per | _ Form filed by Mo | re than One Rep | oorting | | |
| (City) | (State) | (Zip) | Table | I - Non-D | erivative S | Securi | ties Acquire | ed, Disposed of, | or Beneficiall | y Owned | | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year) | | | on Date, if | 3.4. Securities Acquired (ATransactionor Disposed of (D)Code(Instr. 3, 4 and 5)(Instr. 8) | | | | Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | (Instr. 4) | | | |
| COMMON STOCK | 10/11/2018 | | | Р | 4,000 | А | \$ 30.6799 (1) | 13,142 (2) | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. 6. Date Exercisable and forNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) | | ate | 7. Title Amour Under Securi (Instr. | nt of lying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|--|---------------------|--------------------|---|--|---|--|
| | | | Code V | (Instr. 3, 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|---------|-------------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Otterbein Thomas J C/O PIMCO 650 NEWPORT CENTER DRIVE NEWPORT BEACH, CA 92660 | | | | See Remarks | | | | |
| Signatures | | | | | | | | |
| /s/ Kevin Van Gorder, Attorney-in-I Otterbein | Fact for T | homas J. | | 10/15/2018 | | | | |
| **Signature of Reporting | Person | | | Date | | | | |
| | | | | | | | | |

Explanation of Responses:

price within the ranges set forth in this footnote.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were acquired in multiple transactions at prices ranging from \$30.4899 to \$30.8699, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff (1) of the Securities and Exchange Commission, upon request, full information regarding the number of shares acquired at each separate

Includes 2,253 shares acquired on various dates under a qualified dividend reinvestment plan since the last Form 4 filed by the (2)Reporting Person.

Remarks:

Pacific Investment Management Company LLC ("PIMCO") is the investment adviser of the Issuer. The Reporting Person is a

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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