#### Edgar Filing: Lo Andrew Tak Shing - Form 4

| Lo Andrew 7<br>Form 4<br>March 02, 20                                                                                                                                                                                                                                                                                                    |                                      |                                                            |                                                                   |                     |        |         |                                                                                                                    |                                                                                                                   |           |  |  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|------------------------------------------------------------|-------------------------------------------------------------------|---------------------|--------|---------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|-----------|--|--|
| FORM                                                                                                                                                                                                                                                                                                                                     | 1 /                                  | ATES SECUR                                                 |                                                                   |                     |        |         |                                                                                                                    | -                                                                                                                 | PPROVAL   |  |  |
|                                                                                                                                                                                                                                                                                                                                          | OMB<br>Number:                       | 3235-0287                                                  |                                                                   |                     |        |         |                                                                                                                    |                                                                                                                   |           |  |  |
| Check th<br>if no long                                                                                                                                                                                                                                                                                                                   | aer.                                 |                                                            |                                                                   |                     |        |         |                                                                                                                    |                                                                                                                   |           |  |  |
| if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIESExpires:Curtour<br>Estimated average<br>burden hours per<br>responseFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br> |                                      |                                                            |                                                                   |                     |        |         |                                                                                                                    |                                                                                                                   |           |  |  |
| (Print or Type I                                                                                                                                                                                                                                                                                                                         | Responses)                           |                                                            |                                                                   |                     |        |         |                                                                                                                    |                                                                                                                   |           |  |  |
| 1. Name and A<br>Lo Andrew                                                                                                                                                                                                                                                                                                               | ddress of Reporting Per<br>Tak Shing | Symbol                                                     | Name and                                                          |                     | rading | 5       | 5. Relationship of Reporting Person(s) to Issuer                                                                   |                                                                                                                   |           |  |  |
| (Last)                                                                                                                                                                                                                                                                                                                                   | (First) (Midd                        |                                                            | Invesco Ltd. [IVZ]                                                |                     |        |         |                                                                                                                    | (Check all applicable)                                                                                            |           |  |  |
| 1555 PEAC<br>STREET, S                                                                                                                                                                                                                                                                                                                   | HTREE                                | (Month/D                                                   | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>02/28/2018 |                     |        |         |                                                                                                                    | Director       10% Owner         Officer (give title       Other (specify below)         Senior Managing Director |           |  |  |
|                                                                                                                                                                                                                                                                                                                                          | (Street)                             | nth/Day/Year) Applicable Line)<br>_X_ Form filed by C      |                                                                   |                     |        |         | Dint/Group Filing(Check<br>One Reporting Person<br>More than One Reporting                                         |                                                                                                                   |           |  |  |
| ATLANTA                                                                                                                                                                                                                                                                                                                                  | , GA 30309                           |                                                            |                                                                   |                     |        |         | Person                                                                                                             |                                                                                                                   | porting   |  |  |
| (City)                                                                                                                                                                                                                                                                                                                                   | (State) (Zip                         | <sup>p)</sup> Table                                        | e I - Non-D                                                       | erivative S         | ecurit | ies Acq | uired, Disposed of                                                                                                 | , or Beneficial                                                                                                   | lly Owned |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                                                                                                                                                                                                                                                                                                     | a                                    | 2A. Deemed<br>Execution Date, if<br>any<br>Month/Day/Year) | Code                                                              | on(A) or Dis<br>(D) | sposed | of      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)                                              |           |  |  |
| Common                                                                                                                                                                                                                                                                                                                                   | 02/28/2018                           |                                                            | M                                                                 | 14,578              | A A    | \$ 0    | 338,605                                                                                                            | D                                                                                                                 |           |  |  |
| Shares<br>Common<br>Shares                                                                                                                                                                                                                                                                                                               | 02/28/2018                           |                                                            | А                                                                 | 4,592               | А      | \$ 0    |                                                                                                                    | D                                                                                                                 |           |  |  |
| Common<br>Shares                                                                                                                                                                                                                                                                                                                         | 02/28/2018                           |                                                            | М                                                                 | 10,448              | A      | \$0     | 353,645                                                                                                            | D                                                                                                                 |           |  |  |
| Common<br>Shares                                                                                                                                                                                                                                                                                                                         | 02/28/2018                           |                                                            | А                                                                 | 6,829               | A      | \$0     | 360,474                                                                                                            | D                                                                                                                 |           |  |  |
| Common<br>Shares                                                                                                                                                                                                                                                                                                                         | 02/28/2018                           |                                                            | М                                                                 | 15,526              | А      | \$0     | 376,000                                                                                                            | D                                                                                                                 |           |  |  |

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| Common<br>Shares | 02/28/2018 | А | 20,295 | Α | \$0 | 396,295 | D |
|------------------|------------|---|--------|---|-----|---------|---|
| Common<br>Shares | 02/28/2018 | М | 11,897 | A | \$0 | 408,192 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | <ul> <li>4. 5. Number of<br/>Transacti⇒Derivative</li> <li>Code Securities Acquired</li> <li>(Instr. 8) (A) or Disposed of<br/>(D)<br/>(Instr. 3, 4, and 5)</li> </ul> |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                  |                                    |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|----------------------------------------------------------------|---------------------|---------------------------------------------------------------------|------------------|------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code V                                                                                                                                                                 | (A)    | (D)                                                            | Date<br>Exercisable | Expiration<br>Date                                                  | Title            | Amount<br>or<br>Number<br>of Share |
| Restricted<br>Stock<br>Units (1)                    | \$ 0                                                                  | 02/28/2018                              |                                                             | М                                                                                                                                                                      |        | 14,578                                                         | (2)                 | (2)                                                                 | Common<br>Shares | 14,578                             |
| Restricted<br>Stock<br>Units (1)                    | \$ 0                                                                  | 02/28/2018                              |                                                             | М                                                                                                                                                                      |        | 10,448                                                         | (2)                 | (2)                                                                 | Common<br>Shares | 10,448                             |
| Restricted<br>Stock<br>Units (1)                    | \$ 0                                                                  | 02/28/2018                              |                                                             | М                                                                                                                                                                      |        | 15,526                                                         | (2)                 | (2)                                                                 | Common<br>Shares | 15,526                             |
| Restricted<br>Stock<br>Units (1)                    | \$ 0                                                                  | 02/28/2018                              |                                                             | М                                                                                                                                                                      |        | 11,897                                                         | (2)                 | (2)                                                                 | Common<br>Shares | 11,897                             |
| Restricted<br>Stock<br>Units (1)                    | \$ 0                                                                  | 02/28/2018                              |                                                             | А                                                                                                                                                                      | 40,394 |                                                                | (2)                 | (2)                                                                 | Common<br>Shares | 40,394                             |

## **Reporting Owners**

| Reporting Owner Name / Address                             |          |           |                          |       |
|------------------------------------------------------------|----------|-----------|--------------------------|-------|
|                                                            | Director | 10% Owner | Officer                  | Other |
| Lo Andrew Tak Shing<br>1555 PEACHTREE STREET<br>SUITE 1800 |          |           | Senior Managing Director |       |

ATLANTA, GA 30309

### Signatures

/s/ Robert H. Rigsby, as Attorney in Fact

03/02/2018

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one Common Share of Invesco Ltd.

(2) The Restricted Stock Units vest in four equal annual installments and expire upon the employee's termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.