Edgar Filing: Echevarria Joseph - Form 4

Echevarria Jo Form 4	seph										
October 04, 2	017										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								IMISSION	OMB APPROVAL OMB 3235-0287 Number:		
Check this box Expires:											
(Print or Type R	esponses)										
Echevarria Joseph Symbol							Relationship of Reporting Person(s) to ssuer (Check all applicable)				
(Last) 225 LIBERT		(Middle)	3. Date of (Month/Da 10/02/20	-	ansaction		> belo	<pre> Director Officer (give tit ow) </pre>	le 10% C Other below)	Owner (specify	
			nth/Day/Year) Ap			Individual or Joint/Group Filing(Check oplicable Line) {_ Form filed by One Reporting Person					
NEW YORK	K, NY 10286						Per	Form filed by Mo	re than One Repo	orting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative Sec	urities	Acquire	d, Disposed of,	or Beneficially	Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	c) Execution any		3.	4. Securities onor Disposed (Instr. 3, 4 a	Acqui of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
COMMON STOCK	10/02/2017			Code V A	Amount 885.3681 (1)	(D) A	Price \$ 53.65	11,893.5856			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Echevarria Joseph 225 LIBERTY STREET NEW YORK, NY 10286	Х						
Signatures							
/s/Craig T. Beazer, Attorney-in-Fact		10/04/2017					
<u>**</u> Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom stock acquired pursuant to prior election under The Bank of New York Mellon Corporation Deferred Compensation Plan for Directors payable at a specified date in shares of The Bank of New York Mellon Corporation common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.