Edgar Filing: DIODES INC /DEL/ - Form 4

| DIODES INC / Form 4 | DEL/ | | | | | | | | | | | |
|--|--|------------------|---|--|----------|-----------|-------------|---|--|---|--|--|
| May 17, 2017 | 4 UNITED ST | ATES SECU | RITI | ES ANI | D EXCH | IAN | GE CO | MMISSION | OMB AP | PROVAL | | |
| | | W | ashing | gton, D. | .C. 2054 | 9 | | | Number: | 3235-0287 | | |
| Check this b if no longer subject to Section 16. Form 4 or Form 5 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | | | | January 31, 2005 verage s per 0.5 | | |
| obligations may continu <i>See</i> Instructi 1(b). | e. Section 17(a) o | | Utility | Holdin | g Comp | any A | Act of 1 | | | | | |
| (Print or Type Res | ponses) | | | | | | | | | | | |
| Tang FrancisSymbol | | | | nbol | | | | | 5. Relationship of Reporting Person(s) to ssuer | | | |
| (Last) | (First) (Midd | | DIODES INC /DEL/ [DIOD] (Check 3. Date of Earliest Transaction | | | | | | k all applicable) | | | |
| | | | | | | | | Director 10% Owner _X Officer (give title Other (specify elow) below) VP,Worldwide Discrete Products | | | | |
| | (Street) | 4. If Ar | nendme | ent, Date (| Original | | 6 | Individual or Join | | | | |
| DI ANO TY 7 | 5024 | Filed(M | | | U | | А | pplicable Line) K_ Form filed by Or _ Form filed by Mo | ne Reporting Per | son | | |
| PLANO, TX 7 | | 、 、 | | | | | P | erson | | | | |
| (City) | (State) (Zip | ^{o)} Ta | ble I - I | Non-Deri | | | _ | ed, Disposed of, | | y Owned | | |
| Security (Month/Day/Year) Execution Date, if Instr. 3) any | | | e, if T C | 3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | (A) or | | Transaction(s) (Instr. 3 and 4) | (Instr. 4) | | | |
| Diodes Incorporated | | | | | Amount | | Price \$ | | - | | | |
| Common Stock | 05/16/2017 | | | М | 2,250 | A | 24.66 | 69,943 | D | | | |
| Diodes Incorporated Common Stock | 05/16/2017 | | | S | 2,200 | D | \$ 26.66 | 67,693 | D | | | |
| Diodes Incorporated Common Stock | | | | | | | | 1,200 | Ι | By Son (1) | | |

| 1 | | | | | 18,214 | D | | | | |
|--|---|---|--|--|---|--|--|---|--|--|
| ort on a separ | rate line for each class | of securities benefic | Persons informat required displays | who respon tion containe to respond a currently | d to the collection d in this form ar unless the form | e not | | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | |
| 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | | | Expiration Date | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | | | |
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Am or Nu of Sha | | |
| \$ 24.66 | 05/16/2017 | | М | 2,250 | 05/31/2008 <u>(2)</u> | 05/31/2017 | Diodes Incorporated Common Stock - Diodes | 2, | | |
| | 2. Conversion or Exercise Price of Derivative Security | Table II - Derivat (<i>e.g.</i> , pu 2. 3. Transaction Date Conversion (Month/Day/Year) or Exercise Price of Derivative Security \$ 24.66 05/16/2017 | ort on a separate line for each class of securities benefic Table II - Derivative Securities Acqui (e.g., puts, calls, warrants, or 2. 3. Transaction Date 3A. Deemed Conversion (Month/Day/Year) Execution Date, if or Exercise any Price of (Month/Day/Year) Price of Derivative Security \$ 24.66 05/16/2017 | e ort on a separate line for each class of securities beneficially owned Persons informat required displays number. Table II - Derivative Securities Acquired, Dispor (e.g., puts, calls, warrants, options, con 2. 3. Transaction Date 3A. Deemed 4. Conversion (Month/Day/Year) Execution Date, if Transactio or Exercise any Code Price of (Month/Day/Year) (Instr. 8) Derivative Security Code V \$ 24.66 05/16/2017 M | ort on a separate line for each class of securities beneficially owned directly or ind Persons who respond information containe required to respond displays a currently on number. Table II - Derivative Securities Acquired, Disposed of, or Bene (e.g., puts, calls, warrants, options, convertible securities or Exercise Price of Oerivative Security (Month/Day/Year) Execution Date, if any (Month/Day/Year) Execution Date, if any (Month/Day/Year) Execution Date, if any (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Code V (A) (D) \$ 24.66 05/16/2017 M 2,250 | 2. Conversion or Exercise of Month/Day/Year) Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercises Disposed of (D) (Instr. 3, 4, and 5) 2. 3. Transaction Date 3A. Deemed (month/Day/Year) 4. 5. Number fransaction of Derivative (Nonth/Day/Year) 6. Date Exercises (Instr. 8) Acquired (D) (Instr. 3, 4, and 5) 2. 3. Transaction Date 3A. Deemed (Month/Day/Year) 4. 5. Number fransaction of Derivative (D) (Instr. 3, 4, and 5) 6. Date Exercises (D) (D) (Instr. 3, 4, and 5) 2. Security 4. 0. (D) 5. Date Exercises (D) | 2. Conversion or Exercise Security 3. Transaction Date (.g., puts, calls, warrants, options, convertible securities) 4. S. S. Number inspace of or Sepond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. 5. Number (.d. or Disposed of, or Beneficially Owned (.e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and Expiration Date (Month/Day/Year) 6. Date Exercisable and Expiration Date (.Month/Day/Year) 2. Conversion or Exercise Security 3. Transaction Date (.Month/Day/Year) 4. S. S. Number (.Month/Day/Year) 6. Date Exercisable and Expiration Date (.Month/Day/Year) 2. Code V (A) (D) Date Exercisable (.Instr. 3, 4, and 5) 6. Date Exercisable and Expiration (.Instr. 3, 4, and 5) 5. Date Exercisable and Expiration (.Instr. 3, 4, and 5) 3. 24.66 05/16/2017 M 2,250 05/31/2008@) 05/31/2017 | 2 18,214 D or on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of night respond unless the form are not required to respond unless the form are not required to respond unless the form are not unmber. SEC 1474 (9-2) 2. Table 1 - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercise be and (Month/Day/Year) 7. Title and American Acquired (A) or Disposed of, or Beneficially Owned (A) or Disposed of, O' (Instr. 3, 4, and 5) Date Exercise (B) Date (B) D | | |

Reporting Owners

| Reporting Owner Name / Address | s Relationships | | | | | | |
|--|-----------------|------------|--------------------------------|-------|--|--|--|
| 1 0 | Director | 10% Owner | Officer | Other | | | |
| Tang Francis 4949 HEDGCOXE ROAD SUITE 200 PLANO, TX 75024 | | | VP,Worldwide Discrete Products | | | | |
| Signatures | | | | | | | |
| Richard D. White as Power of A Tang | Attorney | 05/17/2017 | | | | | |
| <u>**</u> Signature of Reporting | g Person | | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares, which consist of restricted stock units, were granted to the reporting persons son, who shares the reporting persons household and is an employee of Diodes Incorporated. The reporting person disclaims beneficial ownership of the shares held by his son, and this report should not be deemed an admission that the reporting person is the beneficial owner of his sons shares for purposes of Section 16 or for any other purpose.

(2) Non-qualified stock options exercisable in four equal annual installments beginning 05/31/2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.