Edgar Filing: WisdomTree Investments, Inc. - Form 4

WisdomTree Investments, Inc. Form 4 January 29, 2016

January 29, 2	2016											
										OMB APPROVAL		
- UNITED STATES SECURITIES AND EACHANGE COMMISSION									OMB Number:	3235-0287		
Check thi if no long subject to Section 10 Form 4 or		shington, D.C. 20549 GES IN BENEFICIAL OWNERSHIP OF SECURITIES					Expires: Estimated a burden hou response	irs per				
Form 5 obligatior may conti <i>See</i> Instru 1(b).	¹⁸ Section 17(a inue. action) of the l	Public Ut	• •	ling Com	pany	Act of	e Act of 1934, f 1935 or Sectio 40	n			
(Print or Type R	Responses)											
1. Name and Address of Reporting Person <u></u> <u></u> <u></u> Muni Amit			2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc.				2	5. Relationship of Reporting Person(s) to Issuer				
		[WETF]					(Check all applicable)					
(Last) C/O WISDO		(Middle) 3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner X Officer (give title Other (specify below) below)						
INVESTME	ENTS, INC., 245 F STH FLOOR	PARK	01/27/20)10				Chief	Financial Offic	er		
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 							
NEW YORK	K, NY 10167							Person	Iore than One Re	eporting		
(City)	(State) (Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if any		3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5)			Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial			
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock	01/27/2016			А	95,583 (1)	А	\$0	599,651 <u>(2)</u>	D			
Common Stock	01/28/2016			F	5,201 (3)	D	\$0	594,450 <u>(4)</u>	D			
		C 1 1			-:-11		-41					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Unde Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
r g g g g g g g g g g g g g g g g g g g	Director	10% Owner	Officer	Other				
Muni Amit C/O WISDOMTREE INVESTMENTS, INC. 245 PARK AVENUE, 35TH FLOOR NEW YORK, NY 10167			Chief Financial Officer					
Signatures								
/s/ Marci Frankenthaler, Attorney-in-Fact	01/29/20	16						
<u>**</u> Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock awarded by Issuer on January 27, 2016 and vesting as to 31,861 shares on each of January 27, 2017, 2018 and 2019.

Includes restricted stock awards which vest as to (i) 14,067 shares on January 28, 2016, (ii) 14,338 shares on January 14, 2017, (iii)
(2) 14,068 shares on January 28, 2017, (iv) 14,072 shares on January 28, 2018 and (v) 31,861 shares on each of January 27, 2017, 2018 and 2019.

- (3) Surrender of common stock to Issuer upon vesting of restricted stock award to cover withholding taxes.
- (4) Includes restricted stock awards which vest as to (i) 14,338 shares on January 14, 2017, (ii) 14,068 shares on January 28, 2017, (iii) 14,072 shares on January 28, 2018 and (iv) 31,861 shares on each of January 27, 2017, 2018 and 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.