## Edgar Filing: GAIAM, INC - Form 4

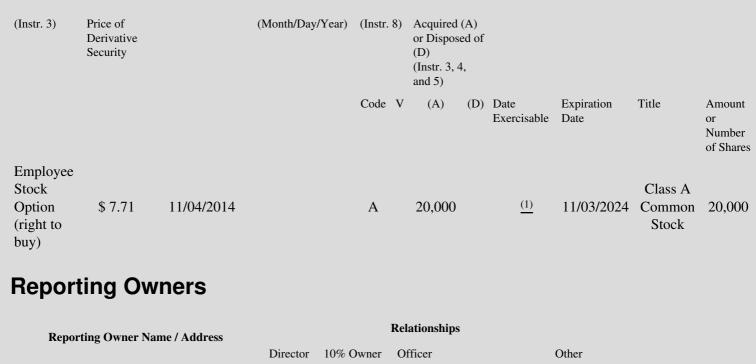
GAIAM, INC	2								
Form 4	2014								
FORM	Л								PPROVAL
	UNITED	STATES		RITIES An ashington			E COMMISSIO	N OMB Number:	3235-0287
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation may contin See Instruc	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Sectio of the Investment Company Act of 1940					Estimated burden hou response	urs per		
1(b).									
(Print or Type R	esponses)								
1. Name and Address of Reporting Person <u>*</u> Thomas Stephen J.			2. Issuer Name <b>and</b> Ticker or Trading Symbol GAIAM, INC [GAIA]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last)	(First) (I	Middle)	3. Date of	of Earliest T	Transaction		(Chi	еск ап аррпсаві	e)
833 WEST SOUTH BOULDER ROAD			(Month/Day/Year) 11/04/2014				Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer		
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
LOUISVILL	E, CO 80027-24	452					Person	More than One K	epotung
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned
	2. Transaction Date Month/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4 Amount	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Reminder: Repo	ort on a separate line	e for each cl	ass of sec	urities bene	ficially ow	ned directly	or indirectly.		
					inforn requii	nation con red to resp ays a curre	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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Chief Financial Officer

Thomas Stephen J. 833 WEST SOUTH BOULDER ROAD LOUISVILLE, CO 80027-2452

## Signatures

Stephen J.	12/24/2014		
Thomas	12/24/2014		
<u>**</u> Signature of Reporting Person	Date		

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock option provides for vesting and exercisability of 2% per month for 50 months commencing December 1, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.