

GREEN DOT CORP
Form 4
May 09, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Streit Steven W

(Last) (First) (Middle)
3465 EAST FOOTHILL BOULEVARD
(Street)

PASADENA, CA 91107

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
GREEN DOT CORP [GDOT]

3. Date of Earliest Transaction (Month/Day/Year)
05/07/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Class A Common Stock | 05/07/2014 | | M | | 10,500 | A | \$ 0 |
| Class A Common Stock | 05/07/2014 | | S ⁽¹⁾ | | 10,500 | D | \$ 17 (2) |
| Class A Common Stock | 05/08/2014 | | M | | 10,500 | A | \$ 0 |
| Class A Common | 05/08/2014 | | S ⁽¹⁾ | | 10,500 | D | \$ 200,000 17.19 |

Edgar Filing: GREEN DOT CORP - Form 4

| Stock | | <u>(3)</u> | | | | | | | |
|----------------------------|------------|------------|-------------|--------|---|------------------------|----------------|---|---|
| Class A Common Stock | 05/09/2014 | | M | 10,500 | A | \$ 0 | 210,500 | D | |
| Class A Common Stock | 05/09/2014 | | <u>S(1)</u> | 10,500 | D | \$ <u>(4)</u> 16.93 | 200,000 | D | |
| Class A Common Stock | | | | | | | 3,282,885 | I | By Steven W. Streit Family Trust <u>(5)</u> |
| Class A Common Stock | | | | | | | 25,757 | I | By minor children |
| Class A Common Stock | | | | | | | 36,828 | I | By Streit 2012 GRAT A dated 02/07/2012 <u>(5)</u> |
| Class A Common Stock | | | | | | | 1,842 | I | By Streit 2012 GRAT B dated 02/07/2012 <u>(5)</u> |
| Class A Common Stock | | | | | | | 388 <u>(6)</u> | I | By father |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | | |
|---|--|---|---|--------------------------------------|--|--|-----|---|--------------------|-------|------------------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number |

| | | | | | | | | |
|--|---------|------------|---|--------|------------|------------|----------------------------|--------|
| Stock Option (right to buy Class A Common Stock) | \$ 1.55 | 05/07/2014 | M | 10,500 | 06/07/2008 | 06/07/2014 | Class A Common Stock | 10,500 |
| Stock Option (right to buy Class A Common Stock) | \$ 1.55 | 05/08/2014 | M | 10,500 | 06/07/2008 | 06/07/2014 | Class A Common Stock | 10,500 |
| Stock Option (right to buy Class A Common Stock) | \$ 1.55 | 05/09/2014 | M | 10,500 | 06/07/2008 | 06/07/2014 | Class A Common Stock | 10,500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Streit Steven W 3465 EAST FOOTHILL BOULEVARD PASADENA, CA 91107 | X | X | Chairman, President and CEO | |

Signatures

/s/ Lina Davidian as attorney-in-fact for Steven W.
Streit

05/09/2014

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 18, 2014.

The price in column 4 is the weighted average price. The shares were sold in multiple transactions at prices ranging from \$16.87 to \$17.07. The reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, complete information regarding the number of shares sold at each separate price within the range.

The price in column 4 is the weighted average price. The shares were sold in multiple transactions at prices ranging from \$16.86 to \$17.51. The reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, complete information regarding the number of shares sold at each separate price within the range.

Edgar Filing: GREEN DOT CORP - Form 4

The price in column 4 is the weighted average price. The shares were sold in multiple transactions at prices ranging from \$16.77 to \$17.1.

- (4) The reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, complete information regarding the number of shares sold at each separate price within the range.
- (5) The reporting person is the trustee of the trust.
- (6) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.