

APPLIED GENETIC TECHNOLOGIES CORP

Form 3

March 26, 2014

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Chulay Jeffrey D.

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/26/2014

3. Issuer Name **and** Ticker or Trading Symbol

APPLIED GENETIC TECHNOLOGIES CORP [AGTC]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

V.P. & Chief Medical Officer

C/O APPLIED GENETIC
TECHNOLOGIES
CORP.,Â 11801 RESEARCH
DR., SUITE D

(Street)

ALACHUA,Â FLÂ 32615

(City)

(State)

(Zip)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	Â <u>(1)</u>	05/31/2017	Common Stock	8,028	\$ 3.5	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	05/31/2017	Common Stock	2,277	\$ 3.5	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	09/18/2019	Common Stock	2,142	\$ 3.5	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	01/06/2023	Common Stock	35,511	\$ 0.35	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	09/18/2023	Common Stock	40,611	\$ 4.9	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Chulay Jeffrey D. C/O APPLIED GENETIC TECHNOLOGIES CORP. 11801 RESEARCH DR., SUITE D ALACHUA, FL 32615	Â	Â	Â V.P. & Chief Medical Officer	Â

Signatures

/s/ Hemmie Chang, attorney-in-fact for Jeffrey D. Chulay 03/26/2014

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option is fully-vested and is exercisable for shares of common stock at any time until the expiration of the option at the holder's election.

(2) Option becomes exercisable in equal monthly installments over four years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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