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APPLIED GENETIC TECHNOLOGIES CORP

Form 3

March 26, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0104 Number:

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires:

January 31, 2005

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Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Chulay Jeffrey D.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

03/26/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

APPLIED GENETIC TECHNOLOGIES CORP [AGTC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O APPLIED GENETIC **TECHNOLOGIES** CORP., Â 11801 RESEARCH DR., SUITE D

(Street)

(Check all applicable)

Director _X__ Officer

Other (give title below) (specify below) V.P. & Chief Medical Officer

10% Owner

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

ALACHUA, Â FLÂ 32615

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Ownership Conversion or Exercise Form of Price of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Derivative Derivative Security:

(Instr. 4)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	05/31/2017	Common Stock	8,028	\$ 3.5	D	Â
Stock Option (Right to Buy)	(1)	05/31/2017	Common Stock	2,277	\$ 3.5	D	Â
Stock Option (Right to Buy)	(1)	09/18/2019	Common Stock	2,142	\$ 3.5	D	Â
Stock Option (Right to Buy)	(2)	01/06/2023	Common Stock	35,511	\$ 0.35	D	Â
Stock Option (Right to Buy)	(2)	09/18/2023	Common Stock	40,611	\$ 4.9	D	Â

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
Chulay Jeffrey D. C/O APPLIED GENETIC TECHNOLOGIES CORP. 11801 RESEARCH DR., SUITE D ALACHUA, FL 32615	Â	Â	V.P. & Chief Medical Officer	Â			

Signatures

/s/ Hemmie Chang, attorney-in-fact for Jeffrey D. O3/26/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option is fully-vested and is exercisable for shares of common stock at any time until the expiration of the option at the holder's election.
- (2) Option becomes exercisable in equal monthly installments over four years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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