SS&C Technologies Holdings Inc

Form 4 August 02, 2013

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

| 1. Name and PEDONTI                  | 2. Issuer Name and Ticker or Trading Symbol SS&C Technologies Holdings Inc [SSNC] |       |                      |   |      | s                 | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)      |                |  |  |   |  |
|--------------------------------------|---|-------|----------------------|---|------|-------------------|--|----------------|--|--|---|--|
| (Last)  C/O SS&C HOLDING LAMBERT     | 3. Date of Earliest Transaction (Month/Day/Year) 08/01/2013                       |       |                      |   |      |                   | Director 10% Owner Sylvary Officer (give title Other (specify below) SVP and CFO |                |  |  |   |  |
|                                      | (Street)  |       | 4. If Am<br>Filed(Mo |   |      | ate Origina<br>r) | al   | A              | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person                  |  |   |  |
| WINDSOR, CT 06095                    |   |       |                      |   |      |                   |  | -<br>F         | Form filed by More than One Reporting Person   |  |   |  |
| (City)                               | (State)   | (Zip) | Tab                  | le I - N  | on-l | Derivative        | Secui  | rities Acqui   | ired, Disposed of,   | or Beneficiall   | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year)   |       | Date, if             | 3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or |      |                   |  |                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
| Common<br>Stock                      | 08/01/2013  |       |                      | Code<br>M   | V    | Amount 15,000     | (D)  | Price \$ 8.77  | 15,000   | D  |   |  |
| Common<br>Stock                      | 08/01/2013(1)   |       |                      | S <u>(1)</u>  |      | 15,000            | D  | \$ 36.3345 (2) | 0  | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | TransactionDerivative Code Securities |                     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|--|---------------------------------------|---------------------|--|-----------------|---|--|
|   |   |   |   | Code V                                 | (A) (D)                               | Date<br>Exercisable | Expiration<br>Date                                       | Title           | Amount<br>or<br>Number<br>of Shares                           |  |
| Stock Option (right to buy)                         | \$ 8.77   | 08/01/2013                              |   | M                                      | 15,000                                | (3)                 | 08/09/2016   | Common<br>Stock | 15,000  |  |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PEDONTI PATRICK J C/O SS&C TECHNOLOGIES HOLDINGS, INC. 80 LAMBERTON ROAD WINDSOR, CT 06095

SVP and CFO

## **Signatures**

Paul G. Igoe, Attorney-in-fact for Patrick J. Pedonti

08/02/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Open market transaction pursuant to a 10b5-1 trading plan adopted by the Reporting Person in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended.
- The price reported is the weighted average of the shares sold. The shares were sold at varying prices in the range of \$36.12 to \$36.53. The (2) reporting person undertakes, upon request by the Staff of the Securities and Exchange Commission, or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.
- (3) The option is a "time-based" option that vested as to 25% of the shares on November 23, 2006 and as to 1/36 of the shares each month thereafter until fully vested on November 23, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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