Burkhardt Roger Form 4 November 17, 2011

### FORM 4 UNITED STATES SECUR

#### **OMB APPROVAL**

| CURITIES AND EXCHANGE COMMISSION | OMB       | 0005 0007   |
|----------------------------------|-----------|-------------|
| Washington, D.C. 20549           | Number:   | 3235-0287   |
|                                  | - Cyniros | January 31, |

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2005 Estimated average burden hours per response... 0.5

Expires:

below)

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Burkhardt Roger |         | orting Person * | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer        |  |  |  |
|---|---------|-----------------|--|---|--|--|--|
|   |         |                 | MARKETAXESS HOLDINGS INC [MKTX]                    | (Check all applicable)                                  |  |  |  |
| (Last)  | (First) | (Middle)        | 3. Date of Earliest Transaction (Month/Day/Year)   | X Director 10% Owner Officer (give title Other (specify |  |  |  |

(Month/Day/Year)

11/15/2011

C/O MARKETAXESS HOLDINGS INC., 299 PARK AVENUE

> (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

> > Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

NEW YORK, NY 10171

\$0.003 per share

| (City)                                      | (State) (Z                              | Zip) Table  | I - Non-De                              | erivative S                                       | Securi                       | ties Ac | quired, Disposed   | of, or Beneficia   | lly Owned   |
|---|---|---|---|---|------------------------------|---------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3)        | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi<br>onAcquirec<br>Disposed<br>(Instr. 3, | d (A) od of (D) 4 and (A) or | ))      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common                                      |   |   | Code v                                  | Amount  | (D)                          | THEC    |  |  |   |
| Stock, par<br>value<br>\$0.003 per<br>share | 11/15/2011                              |   | S                                       | 4,000   | D                            | \$ 29   | 16,696   | D  |   |
| Common<br>Stock, par<br>value               |   |   |   |   |                              |         | 4,000  | I  | By Spouse   |

### Edgar Filing: Burkhardt Roger - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | isable and | 7. Titl | e and    | 8. Price of | 9 |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------|------------|---------|----------|-------------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration Da | ate        | Amou    | int of   | Derivative  | J |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/   | Year)      | Under   | lying    | Security    | , |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |            | Securi  | ities    | (Instr. 5)  | ] |
|             | Derivative  |                     |                    |             | Securities |               |            | (Instr. | 3 and 4) |             | ( |
|             | Security    |                     |                    |             | Acquired   |               |            |         |          |             | ] |
|             |             |                     |                    |             | (A) or     |               |            |         |          |             | J |
|             |             |                     |                    |             | Disposed   |               |            |         |          |             | - |
|             |             |                     |                    |             | of (D)     |               |            |         |          |             | ( |
|             |             |                     |                    |             | (Instr. 3, |               |            |         |          |             |   |
|             |             |                     |                    |             | 4, and 5)  |               |            |         |          |             |   |
|             |             |                     |                    |             |            |               |            |         | A        |             |   |
|             |             |                     |                    |             |            |               |            |         | Amount   |             |   |
|             |             |                     |                    |             |            | Date          | Expiration | m: .1   | or       |             |   |
|             |             |                     |                    |             |            | Exercisable   | Date       | Title   | Number   |             |   |
|             |             |                     |                    | C 1 17      | (A) (D)    |               |            |         | of       |             |   |
|             |             |                     |                    | Code V      | (A) (D)    |               |            |         | Shares   |             |   |

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

11/17/2011

Burkhardt Roger C/O MARKETAXESS HOLDINGS INC. 299 PARK AVENUE NEW YORK, NY 10171



# **Signatures**

/s/ Ori Solomon, as Attorney-in-Fact for Roger Burkhardt

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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