Cogdell Spencer Inc. Form 4 August 24, 2010

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* LUBAR DAVID J

(First) (Middle)

4401 BARCLAY DOWNS DRIVE, SUITE 300

(Street)

2. Issuer Name and Ticker or Trading Symbol

Cogdell Spencer Inc. [CSA]

3. Date of Earliest Transaction (Month/Day/Year)

08/20/2010

4. If Amendment, Date Original

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

below)

X\_ Director

Applicable Line)

Officer (give title

CHARLOTTE, NC 28209

1.Title of 2. Transaction Date 2A. Deemed Security

(Month/Day/Year)

(State)

Execution Date, if

(Zip)

Code (Month/Day/Year) (Instr. 8)

3.

TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

4. Securities

(A)

or Code V Amount (D) Price

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities

Beneficially Owned Following Reported

(Instr. 3 and 4)

(I) Transaction(s)

7. Nature of 6. Ownership Form: Direct Indirect (D) or Indirect Beneficial Ownership

(Instr. 4) (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

6. Individual or Joint/Group Filing(Check

(Check all applicable)

10% Owner

Other (specify

Estimated average

burden hours per

**SEC 1474** (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. 6. Date Exercisable and 7. Title and Amount of 8. Price Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber **Expiration Date Underlying Securities** Derivat Security or Exercise any Code of (Month/Day/Year) (Instr. 3 and 4) Securit

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 3	8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					(Instr. 5
			Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Partnership Units (1)	\$ 0 (1)					<u>(1)</u>	<u>(1)</u>	Common Stock (1)	<u>(1)</u>	
Partnership Units (1)	\$ 0 (1)					<u>(1)</u>	<u>(1)</u>	Common Stock (1)	(1)	
Partnership Units (1)	\$ 0 <u>(1)</u>					<u>(1)</u>	<u>(1)</u>	Common Stock (1)	<u>(1)</u>	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
LUBAR DAVID J 4401 BARCLAY DOWNS DRIVE SUITE 300 CHARLOTTE, NC 28209	X						

## **Signatures**

/s/ Charles M. Handy, Attorney-in-fact

08/23/2010 Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Limited partnership units ("OP units") are redeemable for a cash amount equal to the value of OP units or, in Cogdell Spencer Inc.'s (the (1) "Company") sole and absolute discretion, exchangeable for shares of the Company's common stock based on an exchange ratio of one share of common stock for one OP unit.
  - This report on Form 4 is being voluntarily reported by the reporting person to reflect the following transfers of limited partnership units of Cogdell Spencer LP ("OP units") that occurred on August 20, 2010: (1) Lubar Capital, LLC distributed 397,348 and 901,675 of its OP units to Lubar Capital Management, LLC and Lubar Equity Fund, LLC, respectively, and (2) Lubar Capital Management, LLC
- (2) distributed 149,005, 89,403, and 99,338 of its OP units to Mr. Lubar, Lubar & Co., Incorporated and Lubar Equity Fund, LLC, respectively. Such indirect acquisitions and dispositions of the securities are being voluntarily reported on this report on Form 4, as they only effected a change in the form of Mr. Lubar's beneficial ownership without changing the reporting person's pecuniary interest in such securities.

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- Comprised of 149,005 OP units and 6,569 vested long term incentive plan units ("LTIP units"). Some or all of the 6,569 vested LTIP (3) units may in the future be converted into OP units, thereby increasing the number of OP units owned by Mr. Lubar by the corresponding number of LTIP units converted into OP units.
- (4) Comprised of 1,001,013 OP units.
- (5) Comprised of 89,403 OP units.
  - Mr. Lubar is the president, a director and a shareholder of Lubar & Co., Incorporated, which is the manager of Lubar Equity Fund, LLC.
- (6) Mr. Lubar disclaims beneficial ownership of OP units held by Lubar Equity Fund, LLC, except to the extent of his pecuniary interest therein
- (7) Mr. Lubar is the president, a director and a shareholder of Lubar & Co., Incorporated. Mr. Lubar disclaims beneficial ownership of OP units held by Lubar & Co., Incorporated, except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.