Edgar Filing: KUPIEC SUZANNE L - Form 4/A

| KUPIEC SU | JZANNE L | | | | | | | | |
|----------------------|--|----------------------------------|---------------------------------|--------------------------------------|----------------|--|---|--------------------------|--|
| Form 4/A | | | | | | | | | |
| August 20, | 2009 | | | | | | | | |
| FORM | ЛД | | | PPROVAL | | | | | |
| | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | 3235-0287 | |
| Check t | | | U | · | | | Expires: | January 31, | |
| if no loi subject | | MENT OF CHA | NGES IN | BENEF | ICIAL OV | Estimated | 2005 | | |
| Section | | | | | | | | | |
| Form 4 | or | | | | | | burden hou response | • | |
| Form 5 obligati | ong * | rsuant to Section | | | | • | | | |
| may coi | | (a) of the Public | • | • | · · | | on | | |
| See Inst | | 30(h) of the | Investmen | t Compar | ny Act of 1 | 940 | | | |
| 1(b). | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | |
| | Address of Reporting UZANNE L | Person <u>*</u> 2. Issu Symbo | ier Name an | d Ticker or | Trading | 5. Relationship o Issuer | of Reporting Per | son(s) to | |
| | | - | NERGY I | NC IRRI | 1 | | | | |
| (T | | | | - | Ŀ | (Check all applicable) | | | |
| | | | 3. Date of Earliest Transaction | | | D ' (| 100 | | |
| | | | (Month/Day/Year) 02/20/2007 | | | Director X Officer (gi | | % Owner her (specify | |
| FLOOR | ())))))))))))))))))))))))))))))))))))) | 02/20/ | 02/20/2007 | | | below) below) | | | |
| 12001 | | | | | | SVP, I | Risk and Structu | ring | |
| File | | | . If Amendment, Date Original | | | 6. Individual or Joint/Group Filing(Check | | | |
| | | | Ionth/Day/Yea | ur) | | Applicable Line) _X_ Form filed by One Reporting Person | | | |
| HOUSTON | N, TX 77002 | 02/22 | 2007 | | | Form filed by | More than One R | | |
| 11000101 | ,, 111 , , 002 | | | | | Person | | | |
| (City) | (State) | (Zip) Ta | ble I - Non-J | Derivative | Securities A | cquired, Disposed | of, or Beneficia | lly Owned | |
| | 2. Transaction Date (Month/Day/Year) | Execution Date, if | 3. Transactic Code | 4. Securit onAcquired Disposed | (A) or | 5. Amount of Securities Beneficially | 6. Ownership Form: Direct (D) or Indirect | 7. Nature of Indirect | |
| | | any (Month/Day/Year) | | (Instr. 3, 4 | | Owned Following | (I) (Instr. 4) | Ownership (Instr. 4) | |
| | | | | | (A) | Reported | | | |
| | | | | | or | Transaction(s) (Instr. 3 and 4) | | | |
| | | | Code V | Amount | (D) Price | (msu. 5 and 4) | | | |
| Reminder Re | port on a separate line | e for each class of se | curities bene | ficially ow | ned directly o | or indirectly | | | |
| Reminder, Re | port on a separate find | | carries belle | - | - | should to the colle | action of | SEC 1474 | |

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Am |
|-------------|-------------|---------------------|--------------------|------------|---------------------|-------------------------|------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | orDerivative | Expiration Date | Underlying Sec |
| Security | or Exercise | | any | Code | Securities Acquired | (Month/Day/Year) | (Instr. 3 and 4) |

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| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8 | (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | | | |
|---------------------------|------------------------------------|------------|------------------|-----------|---|--------|--------|---------------------|--------------------|---------------------|--------------------|
| | | | | Code Y | v | (A) | (D) | Date Exercisable | Expiration Date | Title | A oi N oi |
| Performance Cash Units | \$ 0 <u>(1)</u> | 02/20/2007 | | А | | 11,060 | | (2) | 02/20/2010 | Common Stock (1) | 1 |
| Performance Cash Units | \$ 0 <u>(1)</u> | 06/01/2007 | | М | | | 11,060 | (2) | 02/20/2010 | Common Stock (1) | 1 |
| Performance Cash Units | \$ 0 <u>(3)</u> | 02/19/2008 | | А | | 8,804 | | (4) | 02/19/2011 | Common Stock (3) | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------------------------|-------|--|--|--|
| 1.0.0 | Director | 10% Owner | Officer | Other | | | |
| KUPIEC SUZANNE L 1000 MAIN STREET 12TH FLOOR HOUSTON, TX 77002 | | | SVP, Risk and Structuring | | | | |
| Signatures | | | | | | | |
| /s/ Suzanne L. 03 Kupiec 03 | 8/20/2009 | | | | | | |

<u>**</u>Signature of Reporting Person

taxes.

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each cash unit, subject to achievement of the performance condition, is the economic equivalent of one share of RRI Energy, Inc. (1) common stock. The cash units were paid in cash on the vesting date based on the vesting date closing stock price, less withholding for
- (2) The cash units were paid in cash on June 1, 2007 upon achievement of a stock price of at least \$23 for 20 consecutive trading days prior to the expiration date.
- Each cash unit, subject to achievement of the performance condition, is the economic equivalent of one share of RRI Energy, Inc.
- (3) common stock. The cash units are paid in cash on the vesting date based on the vesting date closing stock price, subject to withholding for taxes.
- (4) Each cash unit vests and is paid upon RRI Energy, Inc.'s achievement of a stock price of at least \$32 for 20 consecutive trading days at any time during the three-year term ended February 19, 2011.
- (5) Each cash unit was forfeited subsequent to the reporting person's resignation as an executive officer of RRI Energy, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.