

DYNAVAX TECHNOLOGIES CORP

Form 4

February 06, 2007

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
DINA DINO

(Last) (First) (Middle)

**C/O DYNAVAX TECHNOLOGIES
CORPORATION, 2929 SEVENTH
STREET, STE. 100**

(Street)

BERKELEY, CA 94710

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
**DYNAVAX TECHNOLOGIES
CORP [DVAX]**

3. Date of Earliest Transaction
(Month/Day/Year)
02/02/2007

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President, CEO and Director

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/03/2006		G V	Amount <u>100,000</u> (1)	(A) or (D) Price D <u>(2)</u> 203,214	I	By Trust ⁽³⁾
Common Stock					3,333	I	By Children's Trust ⁽⁴⁾
Common Stock					8,333	I	By Children's Trust ⁽⁵⁾
Common					3,333	I	By

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Stock Children's Trust ⁽⁶⁾

Common Stock 10,773 ⁽⁷⁾ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Option (Right to Buy)	\$ 6.19	02/02/2007		A	250,000	⁽⁸⁾ 02/02/2017	Common Stock 250,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DINA DINO C/O DYNAVAX TECHNOLOGIES CORPORATION 2929 SEVENTH STREET, STE. 100 BERKELEY, CA 94710	X		President, CEO and Director	

Signatures

/s/ Dino Dina 02/05/2007

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On October 3, 2006 the Reporting Person gifted 100,000 shares of common stock to a non-profit organization as a charitable donation.

(2) Not applicable.

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- (3) Shares are held by the Dino Dina 1999 Revocable Trust, of which the Reporting Person is Trustee.
- (4) Shares are held by the Stefania Dina Irrevocable Trust, of which the Reporting Person is Trustee.
- (5) Shares are held by the Francesco Dina Irrevocable Trust, of which the Reporting Person is Trustee.
- (6) Shares are held by the Jordan Moncharmont Irrevocable Trust, of which the Reporting Person is Trustee.
- (7) Includes shares purchased under the Issuer's 2004 Employee Stock Purchase Plan as follows: 2,500 shares on August 13, 2004, 2,500 shares on February 14, 2005, 1,500 shares on August 12, 2005, 2,500 shares on February 14, 2006 and 1,773 shares on August 14, 2006.
- (8) This option shall vest in equal annual installments over four years on each anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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